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Birds, babies, and behaving

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Running Head: Birds, babies, and behaving

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27 **Childhood schooling**

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Perhaps because I study development for a living, I think I should be able to explain my own trajectory through developmental mechanisms. We all do so to some extent—harken back to our childhood for clues to the future. But my brand of developmental systems theory does not place as much weight on retrospective explanations—living is for the here and now and what is remembered from the past is often not very predictive unless we make it so through selective memory or, more to the point, selective forgetting. And so I begin my narrative with the caveat that my childhood is perhaps most important because it was happy—with regard to my future, I am not sure my parents or I gave it much thought (or more than likely did not share the thoughts we had)...life would happen but beyond being casually praised for being a good student in school, I don't remember much proscriptive developmental thinking such as whether I should be scientist or a secretary. It is also possible that future-oriented thinking had been blunted by the death of my older brother to cancer, thus good health and care occupied the top rank of concern. But most probably, given the times, thoughts for my future involved a house and family and a career in mothering. It was not until I was a full professor and gave a university-wide lecture that my parents happened to attend that the talking stopped about my settling down to "simply" take care of our sons. There was never tension

47 about my decision (or the one to keep my name) simply loving anxiety that I was  
48 doing something untoward by pursuing a career.

49 I was one of six children and the group of us occupied much of my out of  
50 school time. We shared a love for animals and were always after our parents for  
51 more pets—chipmunks and snakes are two I remember the most vividly but there  
52 was also the stray cat or dog that just showed up to vie for attention with our  
53 tenured cats and dogs. My father even contributed to the dream for our zoo by  
54 bringing home two baby alligators, Shorty and Pete. They wore out their welcome  
55 by literally biting the mailman. We also had a chicken that laid eggs under sofa  
56 cushions. As I write this all down, I have to revise my childhood thinking that my  
57 parents were not pet-friendly but as a kid it seemed we were often at odds over  
58 just how big the extended pet family would be, but I now see it in a better  
59 perspective. It also never occurred to me that being surrounded by animals was  
60 a pathway to a career, as is the case with so many who enjoy careers in animal  
61 behavior and started as amateur naturalists.

62 My schooling undoubtedly led me closer of a position of scholar ... I  
63 attended a private Catholic school for girls taught by the Sisters of Mercy, many  
64 of who would leave the order when the Vatican revolution took place in the  
65 1970's. In retrospect, they were great teachers and incipient revolutionaries in  
66 ways we students did not appreciate. I know we held them in deep respect. I  
67 placed out of several science courses when starting college, another gift from my  
68 teachers.

69           Perhaps one trait that has carried stably into adulthood is reading...I am  
70 always reading a book or two. As a child, one of my fears was that the world  
71 would run out of books before I died. I would purposely read slowly to make the  
72 treasure of books last longer. As another route to reading insurance, I took  
73 courses in Russian in high school and got to read some of the classics of that  
74 country when in college. I am happy to report my two sons are readers too. Later  
75 my years as editor of academic journals served an additional purpose of giving  
76 me more to read and extending my reading future.

### 77 **Graduate School.**

78           I graduated from Tufts University, at a time when there was a women's  
79 part called Jackson College although we had all of our classes with the Tufts  
80 men. Female-oriented things that I remember are the separate dorms, having to  
81 wear skirts to class and dinner, and check-in time in the evening. When I entered  
82 Tufts, I placed out of introductory chemistry and so I had a space in my freshman  
83 schedule that I filled with introductory psychology. One course was enough to  
84 determine it as a major and I spent several years in different labs as each  
85 psychology course had an experimental component in areas such as perception,  
86 personality, motivation, and learning. I did very little animal work and didn't know  
87 what I was missing in animal behavior for several more years. Moreover, I  
88 somehow missed Kenneth Roeder and his elegant insect work at Tufts...his lab  
89 was simply not open to undergraduates or majors in psychology. I liked having to  
90 write formal lab reports each week; a tradition now lost to most psychology  
91 departments.

92           The chairman of the psychology department was a woman named  
93 Dorothea Crook, who had studied perception at Cornell University. My family  
94 doctor, also a woman, had also gone to Cornell. Perhaps for these reasons  
95 Cornell was the only school I applied to for graduate training.... thinking I would  
96 study learning or perception. As I think back, I marvel at my naiveté in choosing  
97 only one school but I was lucky enough to have good mentors. I did not even visit  
98 Cornell before I attended, relying on the memories of the Cornell alumnae around  
99 me. Perhaps I was not desperate to go to school but as I had always gone to  
100 school, I guessed I figured I would just keep going.

101           My education at Cornell started with a series of lectures by the faculty in  
102 the summer before the school year started. We were given a stipend ranging in  
103 value with how early we came...the earlier the better. I had already been  
104 assigned an advisor , Martin E. P. Seligman, and gained experience in his lab at  
105 the same time. He worked on learned helplessness in dogs; a phenomenon that  
106 had grown out of designing the appropriate controls for studies of Pavlovian  
107 conditioning. In such conditioning, an arbitrary stimulus, say, a tone, is paired  
108 with an aversive stimulus, in this case, shock to dogs' feet. To show that it was  
109 the contingency causing the animal to learn to jump across a gate to avoid the  
110 shock, it was necessary to test the condition where the shocks and arbitrary  
111 stimulus came in random fashion (procedures stemming from the work of Allan  
112 Wagner and Robert Rescorla). Marty had found that such random conditions  
113 caused the dogs to give up, to simply take the shock and cease trying to avoid it  
114 by jumping, hence, the term learned helplessness. Marty thought it might be a

115 good model for human depression and in fact went on to study with clinical  
116 psychologists at Penn on this idea which grew to be very popular as an animal  
117 model.

118 I was not interested in animal models of abnormal behavior, nor was I  
119 convinced that the dogs' behavior was due only to the random contingency...if  
120 so, it should work with positive or appetitive stimulation as well, but that did not  
121 seem to be the case. I also wondered about the effects of context. When we  
122 retrieved dogs from the small kennels to participate in the experiment, they did  
123 not seem helpless until they reached the experimental room. My memory is that  
124 some of the dogs were cooperative and easy going until they were in the  
125 apparatus. Why weren't they helpless all the time? If this was a model of  
126 depression, shouldn't we see the effects in all contexts, as depression, to my  
127 knowledge, was not context specific? I was not the only grad student to think this  
128 way and a number of us met frequently with Marty to discuss our concerns. He  
129 took them seriously but still maintained the dog model as a good analog of  
130 human conditions that led to depression such as poverty, uncontrollable noise,  
131 and the draft for the Viet Nam war. But Marty moved to Penn while we were still  
132 in our early years at Cornell and has gone on to study human behavior in striking  
133 and novel ways, focusing on "positive psychology."

134 But my interest in learned helplessness, which was never strong, was  
135 overshadowed by the summer lecture of William C. Dilger, a joint member of  
136 Psychology and Neurobiology and Behavior. He mesmerized me with his  
137 description of the field of ethology.... he said ethologists looked at animals as a

138 set of answers, and it was our job to figure out the evolutionary questions. He  
139 illustrated his thinking by drawing (he was an excellent artist) the beaks of birds  
140 and engaging us in thinking up reasons for the different morphologies. He offered  
141 a combination of a respect for nature and animals with the instincts of a good  
142 experimentalist. He was one of the first to do playbacks in the field and had also  
143 done one of the most complete studies of behavioral genetics comparing the  
144 behaviors of hybridized members of the Agapornis parrot family. The contrast to  
145 the dog work was great: ethologists appreciated the whole nature of the animal  
146 they were studying, did not focus on arbitrary connections among behaviors but  
147 connections set up by natural history.

148 I did not come to question learning theory solely of my own accord.... a  
149 fellow graduate student, Andrew King, was also in the Seligman group. Unlike  
150 myself, he had a strong research history in animal learning and had come to  
151 Cornell to study classical conditioning with Marty. His first question to me in fact  
152 was "What's your theory of learning?" I thought the question indicated perhaps  
153 too much of a dose of experimental psychology and that it was rather bold for a  
154 first year graduate student to have so grand a thing as a theory. Something  
155 clicked though as we eventually would marry and collaborate on theories of  
156 development and learning. We did not formally collaborate during graduate  
157 school, although we helped each other with our collective research. We both  
158 ended up with Dilger as our chairman and shared lab space. Drew's background  
159 was similar to mine in that he had raised parakeets and bred fish, but he had  
160 more of a sense of direction about his future. His future, at that point, though

161 was compromised by threat of the Vietnam draft, as was true of all the male  
162 American students. E.J. Gibson did her best to make sure they were assigned  
163 teaching assignments, which gave some relief. The war seemed to circle  
164 overhead during our whole stay at Cornell. The times also produced racial  
165 violence when a group of armed black students took over the student union.  
166 Cornell cancelled all classes that spring to allow for some chance at  
167 reconciliation and change, which slowly came about but probably not to the  
168 armed intruders' wishes. Finally, graduates who had received federal funding for  
169 post docs had the money impounded by President Nixon, a swipe at the  
170 seemingly left leaning NIH and widespread antiwar protests at major universities.

171 Our lab space became available at first through the graciousness of  
172 James and Eleanor Gibson, well known perception experts. We had been  
173 encouraged to hang out in their lab by older students from whom we learned a  
174 tremendous amount about science and politics. The Gibsons carried out  
175 independent and dependent lines of research ranging from theories of perceptual  
176 systems ("JJ" as he was called;(Gibson 1966)) and the development of  
177 perceptual learning ("EJ"; (Gibson 1969)). EJ was also referred to as Mrs.  
178 Gibson, which was not meant in any pejorative sense but for practical reasons  
179 (although I never heard any one address JJ as "Mr. Gibson"). At one point, I  
180 rebelled against what seemed like a sexist tradition and left a note in her box  
181 addressed to "Dr. Gibson." A few days, later I saw JJ who asked me questions  
182 about the message in the note, professing confusion as to what to do. Clearly  
183 Mrs. G had put the unopened note in Dr. G's box without a second thought. I

184 decided if she could live with “Mrs.”, so would I. Although she had had to fight  
185 hard to get an independent academic position at Cornell, she never expressed  
186 anything but enthusiasm for science to us students. She was a keen mentor for  
187 female students and did much to help us find jobs or post docs.

188           Due to space constraints at the time, the Gibson’s laboratories were  
189 located off campus near the Ithaca airport and were known as the “airport labs”  
190 The labs had lots of space enough so that Drew and I could get offices there  
191 even though Dilger was our major professor (he had limited space for his many  
192 students). EJ was on my research committee. The airport was, in the airport lab’s  
193 opinion, the best place to be in terms of intellectual stimulation and friendship.  
194 The lab was large and parking was just outside the door. The Navy funded JJ  
195 and some years he did not use up his entire budget and attempted to return the  
196 money to the Navy, something the Navy did not know how to do. One year we  
197 talked him into buying the first Xerox machine for the lab. JJ was writing a book  
198 when we were there and had a weekly seminar discussing his ideas about  
199 perceptual systems and things called “affordances”(Gibson 1966). The concept  
200 has now slipped into more common usage at least in psychology and generally  
201 refers to the functions that define an object such as a chair’s affordance in sit-  
202 ability and conversely anything with sit-ability, a log and overturned trash can,  
203 had something in common with chairs. Active perceivers explored the  
204 environment in search of affordability. For Drew and myself, the concept linked  
205 learning and perception and we will talk more about affordances later.

206 EJ was also finishing a book on perceptual development in animals and  
207 humans. The book is still useful today as a complete survey of theories and data  
208 on perceptual learning in animals through 1969. She had done work on goats'  
209 attachment as well as invented the visual cliff with Richard Walk. The cliff was a  
210 plank of wood in the middle of a glass tabletop with squares attached to the  
211 surface of the glass or the floor to simulate depth. Walk and Gibson studied  
212 many young animals on the cliff and showed the importance of whiskers in  
213 kittens and rats to judging depth, among other findings ( E.J. Gibson, 1969). The  
214 amount of research they did goes beyond any autobiographical bounds but was  
215 an elegant example for me of how a young animal's own activities affected its  
216 development, a growing theme in my head for research. Dilger's work on parrot  
217 learning nest building also brought up the question of genetic and experiential  
218 factors, which was a broad banner for much of psychology and ethology in the  
219 1960's and 1970's (Dilger 1964). Although Dilger taught us much about how to  
220 study animals and how to pose questions to animals, it was the Gibson's work on  
221 perception as a "performatory" activity-taking place in a stimulus rich world that  
222 formed the basis for our later work, even to this day. In both ethology and  
223 psychology, the sense was that experiences were imposed on passive  
224 perceivers with plastic parts of their nervous system to allow experience to do its  
225 trick. We now know that experience creates some of that plasticity and that the  
226 processes are interpenetrable. The Gibsons also stressed a different kind of  
227 environment than that of the learning theorists...to the Gibsons, the world  
228 abounded in information, the perceiver's job was to discriminate and act upon it.

229 Our later resistance or perhaps anxiety about templates for bird song  
230 development and schemas stemmed from the different worldview representing  
231 an environment of impoverished information.

232 I managed to package many of these ideas into my thesis on play and  
233 exploration in domestic kittens. My interests were sparked by watching a  
234 youngish cat attempt and finally succeed, over a matter of months, in getting an  
235 older cat to play. I could not get over the amount of motivation involved—what  
236 was so important about pouncing, facing off, and leaping toward one another?  
237 The topic included my ethological interest in naturally occurring forms of early  
238 experience and my psychological interests in perceptual learning, i.e., learning  
239 the function of things in the world. These topics also naturally drew me into  
240 developmental theories, which I found I loved to read and think about. In some  
241 sense the work on play was a Rorschach for portraying experiential effects. I  
242 studied a total of 42 kittens from 8 litters from 5 mothers. Three of the mothers  
243 were feral cats that lived outside and underneath our rental house. The cats  
244 knew well-hidden means of egress and ingress to our house and it took us quite  
245 awhile to learn how they were entering and why food was disappearing from our  
246 kitchen counter. I discovered the scheme one day when I and our dog came  
247 home at a time I usually was not in the house and found a huge tom cat sleeping  
248 on the bed...he was as surprised as I was but a lot faster and escaped through an  
249 opening where the pipes entered the house. I doubt I would have dared catch  
250 him as he was not at all friendly when we would encounter him outdoors...the  
251 other memorable meeting was when he discovered a litter of kittens in our

252 garage and he gobbled down several of them. We steered clear of “Dad” as he  
253 was known.

254         The feral mothers were more tolerant of my presence as long as I did not  
255 try to touch them. Often I watched them through the window to the garage or  
256 while sitting in my VW beetle with which I could even follow them distances into  
257 the woods. The feral mothers were moms and sisters and they shared caretaking  
258 and nursing activities forcing me to rethink the idea of cats as not very social.  
259 The feral litters were very small due to poor nutrition, disease, and infanticide.  
260 Play seemed especially important in these litters because the mothers could not  
261 go off and hunt alone and had to tolerate playful advances that were much less  
262 frequent in the non-feral and larger litters where, during social play, mom could  
263 easily slip away. Several times I saw feral moms start to head for the fields and  
264 forests with a kitten that simply wouldn’t stay home, most often because it was  
265 singleton. The incipient dangers were realized once when a horse from next door  
266 got loose and ran into the same field.... mom scurried away but the kitten froze  
267 and was trampled. Marc Bekoff has talked about wild coyote pups and incidental  
268 function of play where staying together depended on playing together and I  
269 thought the same was true for cats. At some age mom did not protest but usually  
270 she brought prey to the kittens rather than the other way around. So although the  
271 mothers cooperated for kitten care, hunting appeared to remain a solitary fare.

272         At the time there was much debate over the definition of play as well as its  
273 function. I don’t think the same holds true today; I think play is more generally  
274 accepted as an important developmental category. But while I was working on it,

275 I remember participating in a seminar on play organized by Bekoff for an ASZ  
276 Christmas meeting (West 1974). A comment from an audience member stayed  
277 with me...the speaker was Irwin Bernstein and the comment was that we should  
278 stop fussing about what we called play and focus on the activities that defined it  
279 such a jumping or running or manipulating. A graph of the amount of “play”  
280 meant little without good operational definitions devoid of the motivational  
281 confusions the term ‘play’ seemed to attract.

282         Thus, I began to think of my work as looking at the experience of early  
283 experience. What exactly is play doing? My observations of feral cats led to my  
284 beliefs about the function of play...I did not think the value was for the future, i.e.,  
285 practice for hunting for example. I thought the value was for the present to  
286 provide the young a means to explore the properties of the emerging world as  
287 kittens obtained very different reactions when pouncing on a toy versus a real  
288 mouse. If anything, play seemed to be a misleading preparation because the  
289 object of the play did not respond in ways similar to that of potential prey or  
290 peers. For example, a common play move was to rear up on the hind legs with  
291 the arms outstretched in a sort of bear hug.... what better way to scare off even a  
292 non-vigilant mouse or bird? But, the experience delivered information for  
293 predicting behavioral properties of hunt-able objects. I can recall one of the feral  
294 kittens pouncing on Dad and getting swatted to kingdom come...I think the kitten  
295 learned rapidly that adults had different properties than other kittens. As they  
296 matured, sex differences also became apparent and females began to retreat

297 from males' initiatives because it seemed the males did not distinguish playful  
298 from sexual initiatives.

299         During this time, Drew and I wrote a paper for a course on comparative  
300 psychology—we complained that learning psychology did not necessarily  
301 emphasize the species typical skills of an animal but looked for abstract  
302 capacities to acquire stimulus response contingencies. Much of what was special  
303 about different species was deliberately ignored in order to find generalities,  
304 generalities we thought to exist probably throughout even simple animal species.  
305 To Drew and I, this approach was like using a dishwasher solely as a storage  
306 box but never turning on the electricity to see what the box could do. Thus the  
307 major affordances were missed. We sought learning paradigms with more  
308 electricity, paradigms focusing on motivated species typical learning. Such a  
309 move distanced us from those seeking laws of learning or perhaps focused us on  
310 different kind of laws. Laws of function/survival or development became more  
311 important. This view was shared by a small number of comparative psychologists  
312 such as TC Schneirla, Gilbert Gottlieb, Jay Rosenblatt, Daniel Lehrman and Don  
313 Dewsbury. Aside from Don, they represented the "Rutgers" group or the  
314 "Museum group" as many had appointments at the Museum of Natural History in  
315 New York City. They focused on actual problems animals faced such as the  
316 transition to filial, sexual, and parental behavior in rats, cats, or birds. They were  
317 among the first to look at multiple levels of analysis starting with behavior but  
318 often extending their thinking to underlying hormonal states.

319 Dewsbury represented another tradition, looking at a similar behavior, in  
320 his case sexual behavior in rodents, among other taxa. He compared closely  
321 related species and compared reproductive strategies in males and females.  
322 Drew and I were inspired by all such comparative work and began to plan a  
323 comparative program of our own—we would travel to the Galapagos Islands to  
324 study tool use in Darwin's finches, species already studied for differences in beak  
325 morphology. We managed to secure fellowships to free up the time, but political  
326 events swept through South America that made travel risky with no guarantee of  
327 access to the birds (and thus a very risky means to complete dissertations) and  
328 so we shelved the idea always hoping to return to it once we had our degrees.  
329 The effort served as a model however for the kind of integrative work we wanted  
330 to do and the model would reassert itself when we began to think about the  
331 evolution of learning in cowbirds. We owe Cornell a great debt when it comes to  
332 stimulating integrative work. Cornell had a strong committee system fostering the  
333 creation of cross-disciplinary faculty representation for example between  
334 psychology and neurobiology and behavior. Comparative approaches were also  
335 fostered. As students, we had nothing to compare to Cornell and so it was not  
336 until I got a job at the University of North Carolina that I retrospectively began to  
337 appreciate what Cornell had to offer.

338 Like most graduate programs, Cornell had qualifying exams, which I took  
339 with W. Dilger, E.J. Gibson, and R. McLeod, an historian. He asked me the most  
340 challenging question: to trace the history of comparative psychology from  
341 Aristotle to the present. I also took it as an opportunity to include the growing role

342 of ethology as an alternative way to approach learning and development. His  
343 question got me thinking about animals as models or surrogates for humans. At  
344 that time, this was the major role for animals in psychology. But I was skeptical  
345 that the desired simplicity of animal models would map onto the complexity of  
346 human behavior in a linear way. To borrow from computer vernacular of today,  
347 learning psychologists (or many of them) saw animals' minds as computer  
348 programming versions, with upgrades and updates in "higher" species that  
349 flowed linearly from one species to another, and so understanding a bird, rat, or  
350 primate bore direct relationships to understanding a human, the most advanced  
351 form of the same basic software program. The computer language was the  
352 common connection. But too much of the work focused on narrow fields of  
353 behavior, primarily conditioning, probably because it was only in such simple  
354 environments that rules emerged. While I could see similarities in some  
355 mental/neural capacities, one had to ignore too much of the animal to keep the  
356 comparative speculation clear. Psychologists such as Hull, Spence, Skinner or  
357 Tolman were articulate visionaries of this view. But what I thought about were all  
358 the capacities animals had that humans did not have, in particular, sensory  
359 apparatus such as echolocation. Does this ability simply get added into the  
360 program or subtracted from the human program, man minus the hard- and  
361 software of bat echo locating minus flying minus insectivory etc.

362         The Gibsons' views differed as animals were evolved to fit rich ecological  
363 niches. JJ looked carefully and comparatively at animals' eyes and their  
364 binocularity to develop his theories of depth perception and the role of motion

365 parallax. Drew and I had a large fish tank in our office and JJ would spend time  
366 watching how the fish (cichlids) moved their eyes when swimming forward or  
367 backwards. His curiosity about animals encouraged us to think that psychologists  
368 could study behavior in “non-traditional” animals and was more in line with the  
369 thinking of those in neurobiology and behavior (whose lab was also located at the  
370 airport). JJ did not however think that studying the neuroanatomical correlates of  
371 vision was terribly helpful in creating theories of perception. He felt it was the  
372 ecology that held the answer. A review of Gibson’s work once noted his theme,  
373 as “It’s not what inside your head that matters but what your heads is inside  
374 of”(Mace 1977).

375 Living in Ithaca brought out the naturalist in everyone, as the environment  
376 was stunning: forests, streams, waterfalls, and gorges. Dilger’s lab was located  
377 at the Laboratory of Ornithology on Sapsuckers Woods Rd., a beautiful drive  
378 from campus. Most people we knew watched birds as a hobby. For graduate  
379 students, the physical features of Ithaca encouraged lots of outdoor recreation  
380 from boating to cross country skiing; there was an activity for every season. Drew  
381 and I lived in the country in a small house bordering acres and acres of New York  
382 State Forest. Fortunately, the animals of interest, kittens for me and cowbirds for  
383 Drew could be found in our front yard. We also had a dog, and bred parrots and  
384 tried to breed cichlids, a species known as Oscars, who grew to be quite large  
385 and were highly aggressive meaning that we could only keep two in a 75-gallon  
386 tank. They were visually quite alert, watching us as much as we watched them. I  
387 can remember that I used to read the newspaper at my desk next to the fish tank

388 during the weekdays, but on Sunday, I read the Sunday times, which had color in  
389 the magazine section. The fish became quite excited at the color pictures on  
390 Sunday but paid little attention to the news in black and white. After a few years,  
391 we had assembled a small zoo; something we had both wanted as children but  
392 had no idea could become part of a formal education.

393 A final influence on us during graduate school came from the  
394 departmental chairman, Harry Levin, whom we got to know quite well because  
395 Drew was hired to assist Harry, whose spine had been injured, a consequence of  
396 unsuccessful back surgery. He was a psycholinguist but reached out to students  
397 in all fields. Harry treated students with the utmost respect, and in return,  
398 students tried hard to live up to that respect. Drew and I learned a lot from Harry  
399 about navigating the academic landscape from what turned into a close personal  
400 relationship, and he continued to mentor both of us after he became Dean of the  
401 Arts College and thereafter up until his death.

402 Harry, as chair, told all of the students that his vision was that we become  
403 skeptics in our respective fields and not follow the mainstream, but pursue  
404 overlooked tributaries that might be missed by those following major trends. We  
405 took his message to heart and credit our chronic lack of mainstream-ness to his  
406 influence. We have never done research that followed a popular trend, even  
407 though at times it would have helped us judge whether we were having any  
408 impact. Levin, as well as other senior professors, also did not stress publishing  
409 much as graduate students because they thought we did not yet know enough to  
410 make a substantive contribution (and because you could get a job without many

411 publications). It was a quite different world from the present where students often  
412 begin to publish as undergraduates.

413         In 1970, Drew and I attended a symposium at McMaster University  
414 entitled “Can psychiatrists use ethology?” “Everyone” in the psychobiological  
415 world was there, headed by Robert Hinde. The Rutgers group was especially  
416 well represented and we heard talks by Ernest Hansen on play, Colin Beer on  
417 comparative methods, and an overview of developmental studies by Lehrman.  
418 Harry Harlow was also there, representing those trying to study affectional  
419 systems (the scientific term for mother love) in non-human primates. I had mixed  
420 feelings about Harlow. On the one hand, his writing about the comparative  
421 psychology of learning was outstanding—he argued that conditioning was  
422 probably one of the slowest ways possible to teach something to an animal and  
423 he demonstrated the role of cognitive reinforcement (curiosity) showing that  
424 rhesus monkeys would “work” for chance to look out a window at a faster rate  
425 than to obtain food (Harlow 1965). But his work on social development bothered  
426 me: he did several studies in which he housed primates alone in small-darkened  
427 cages (he called them “pits”) with no added sensory stimulation for a year  
428 (Harlow 1974; Harlow & Harlow 1962). The monkeys developed severe  
429 psychopathologies and Harlow’s work was cited as an animal model for autism.  
430 But I could not understand the parallel. Human infants were not subjected to  
431 “pits” in order to show abnormalities and I could think of no ecological parallel to  
432 solitary living without any stimulation except that that was self-generated. It is  
433 one of those cases where using a scientifically clean method (control of

434 stimulation) was uninterpretable as it was completely bogus, at least in  
435 mammals. Young animals did not live alone for years in close confinement with  
436 without added stimulation.

437         To be completely truthful, I thought the work, although immensely popular,  
438 was inhumane and went from person to person explaining my discomfort. I don't  
439 think Harlow would have done the work today and I don't think an IACUC would  
440 approve it. But setting aside animal welfare concerns, I think Harlow's theoretical  
441 point of view would probably still be tolerated given the number of animal studies  
442 using isolation as a basic condition. Harlow also indulged in the sometimes tricky  
443 business of trying to find humor in some of his work—when he tried to breed  
444 motherless monkeys, he found they showed no interest in male sexual overtures  
445 and so he tied the limbs of a female to a metal frame and “allowed” the male to  
446 mate with her...he referred to the apparatus as the “rape rack”. Such an  
447 approach reinforced in me the need for respectful animal conditions and the  
448 theoretical uselessness of such draconian methods. Although his work was very  
449 influential in showing the role of mothers and peers in development, I was  
450 convinced that more ecologically valid methods would have come to better  
451 conclusions but with more applicability to humans and monkeys.

452 **Introduction to cowbirds.**

453         While Harlow's talk at the conference did not inspire me, those of Hansen,  
454 Beer and Lehrman were exceptionally motivating. Hansen talked about the  
455 importance of play, Beer stressed the role of microphyletic comparisons, and  
456 Lehrman made intriguing arguments about how to choose animal models

457 (Lehrman 1974). He noted, for example, that scientists tended pick and choose  
458 animals to model whatever human behavior was of interest and so birds were  
459 often chosen as models of early experience because of the findings of those  
460 studying imprinting. But he posed the question which bird do we choose? He  
461 remarked that red winged blackbirds deprived of parental stimulation showed  
462 abnormal species identification and abnormal song learning but brood parasitic  
463 cowbirds, also members of the Icteridae family, did not appear to be influenced  
464 by their early experience with host species and lack of contact with “parental”  
465 cowbirds. The question then was which species do you choose as a model?  
466 Obviously the answer was that no one species would accommodate the role of  
467 “the” animal model. His words struck a chord with Drew and myself and we came  
468 back excited to look at questions of early experience in cowbirds. There are  
469 seven species of cowbirds, one of which is entirely non parasitic. Resurrecting  
470 some of our thinking about the aborted Galapagos project, we envisioned  
471 studying all the cowbird species while looking at the role of early experience as  
472 the species radiated north and became more and more parasitic. Drew took on  
473 the most parasitic species, *Molothrus ater*, the North American brown-headed  
474 cowbird, for his dissertation. Thirty or so years later we are still working on *M. a.*  
475 *ater* although we have studied eight different populations of the three subspecies  
476 but we have found enough to keep us busy still trying to figure out their learning  
477 and development. Ernst Mayr helped to frame the work with his 1974 paper on  
478 open and closed behavioral systems (Mayr 1974). He targeted the cowbird as a  
479 representative of a completely closed developmental impervious to postnatal

480 experience a view based on speculation. This led Drew to test these ideas  
481 experimentally.

482         While I was learning about play, Drew was building his first aviary to study  
483 brood parasitism in cowbirds. His deeper interests included social processes  
484 such as how cowbirds managed species identification. North American cowbirds  
485 were raised by over 200 different species and subspecies until fledging, then fed  
486 by their hosts for a short time, and then were found in small flocks with other  
487 fledglings. To answer such questions meant having ready access to very young  
488 cowbirds. Thus, it came to be that Drew and I began to work as an informal team  
489 to try to raise young birds. It was simply more than one person could do. At first  
490 we thought we could convince other birds to raise cowbirds and developed a  
491 colony of canaries for that purpose. We found we could breed the canaries but  
492 that even though canaries would feed young cowbirds, sometimes twice the size  
493 as the canaries, something was not right, most likely the diet, leading to the early  
494 deaths of the young cowbirds. We also tried zebra finches but were not more  
495 successful. Before we knew it, we had colonies of adult cowbirds, canaries, and  
496 zebra finches requiring daily care. We sold canary and finch offspring to raise  
497 funds to pay for food and supplies. Eventually, we raised one cowbird, #62, that  
498 thrived, the last bird of the 1973 season. Unfortunately, or so it seemed at the  
499 time, she was a female and we were pretty convinced that vocal communication  
500 was key to socializing and females did not sing. We decided to try a playback  
501 experiment to her, following in Dilger's footsteps and at the suggestion of Bob  
502 Johnston, a new faculty member in psychology. We were hoping that the female

503 would approach the playback speaker but to everybody's astonishment, the  
504 female responded with a copulatory posture to cowbird song. Subsequent  
505 playbacks revealed the response to be selective to cowbird song and not  
506 nonconspecific song. We rightfully thought we might have the beginnings of a  
507 paradigm in which to test quantitatively the functional properties of different birds'  
508 songs. But we had to solve the husbandry problems first which would become  
509 the major focus of Drew's dissertation.

510         While this was ongoing, Drew would take data on kitten play bouts in the  
511 afternoon and I fed his birds in the morning, giving us both chances to get to  
512 campus and do other work. We were spoiled, we had inherited the Howard S.  
513 Liddell Animal Behavior Farm when the department moved into a new building  
514 and absorbed many off campus facilities including the airport lab. I had spacious  
515 rooms for litters of kittens and Drew had at least five rooms for aviaries. Our only  
516 neighbor was someone studying raccoons in one room; they occasionally  
517 escaped and trashed our offices but it was a small price to pay for almost  
518 unlimited space. Drew also had outdoor aviaries outfitted to study brood  
519 parasitism with perches on micro switches in front of potential host nests to count  
520 how often the nests were visited. We learned to make fake eggs (with the help of  
521 Joan Johnston) to place in the nests. They were made of frozen chicken yolk  
522 dipped in paraffin; the only problem was the heat—nothing smelled worse than  
523 overheated egg and wax. But we learned that we could breed cowbirds, and  
524 Drew's dissertation revealed a great deal about the egg laying behavior of

525 females which along with the playback response, set the stage to study the  
526 developmental cycle: from egg to egg.

527 **North Carolina.**

528         But before we could pursue that goal, we had to finish theses and find a  
529 place to work where we could have facilities like those at Cornell. We were also  
530 hooked on the outdoors after living in Ithaca. Two people drew us toward North  
531 Carolina, Harriet L. Rheingold at UNC and Gilbert Gottlieb at the Dorothea Dix  
532 Hospital, an almost unique state funded basic research unit for which minimal  
533 clinical duties were expected. We chose to move there after I was offered a one-  
534 year visiting assistant professor position, with the expectation that I would work  
535 with Rheingold to learn how my thinking about play would fare with human  
536 infants.

537         Drew made arrangements to have contact with Gilbert's lab although a  
538 post doc slot was not available. Instead, he accepted a lectureship at Duke with  
539 the support of J. E. R. Staddon. Gilbert also taught a proseminar in the  
540 developmental division at UNC where I was located. As a result we also got a  
541 good dose of the teachings of Kuo, as well as Gottlieb. Kuo had helped Gottlieb  
542 fashion a window in duckling egg shell to watch and manipulate the final stages  
543 of embryonic development. My duties involved teaching child development  
544 which was a challenge because I had never taken a course in child development  
545 and so I learned along with the students. As a visitor, I was not given any lab  
546 space but began to collaborate with Rheingold on studies of toddlers and social  
547 pragmatics of communication, which had some overlap with play. I saw enough

548 infants to realize however that the much more slowly developing motor system of  
549 the human infant did not translate into anything that looked like social play in  
550 mammals. As I had no space to study kittens, my attention was changed  
551 considerably to look at how active infants socialized those around them. I was  
552 especially interested in their vocalizations, as their vocal play seemed closer to  
553 mammalian play in its paradoxical repetition and inventiveness. It was also clear  
554 that parents seized on infant sounds to carry out proto conversations and to  
555 divulge much information about the environment. I would eventually get an NIH  
556 career development award to study both birds and babies. During that time, I  
557 worked with a number of students interested in some aspect of parent-child  
558 relations. Jim Green and Gwen Gustafson looked longitudinally at mother –child  
559 interactiveness and Gena Emery and Anne Arberg looked at language. Finally,  
560 George Holden developed new techniques to look at parental reasoning. I also  
561 worked with Dr. Eleanor Leung, who had been a research associate in  
562 Rheingold's lab and we collaborated to look at the properties of maternal speech.

563 I learned a great deal from Harriet about infants and also academics over  
564 the years. She read and commented on every paper I wrote and would discuss  
565 the craft of writing at length. Her writing was superb (her dissertation and almost  
566 every subsequent publication was published with no revisions). I still see or feel  
567 her looking over my shoulder as I write. She had been an undergraduate at  
568 Cornell and thus was another alum that helped focus my career. But my interests  
569 in cowbirds always overshadowed my interest in infants because the cowbird  
570 work seemed theoretically the most important contribution we could make to

571 understanding broader principles of development. We also saw the bird work as  
572 well as a way to think up new methodology to use with human mothers and  
573 children, much of it modeled from the bird work.

574         We had started house hunting immediately as we needed a place for our  
575 animal collection which consisted of something like nine cats (I had given away  
576 42 kittens in pairs as I did my work), one dog, and several dozen birds. We found  
577 a rental house with a basement and moved the birds into large flight cages and  
578 we housed the sound attenuation chambers we had had built at Cornell into what  
579 should have been the living room. The chambers were 1m<sup>3</sup> with one-way  
580 windows in the front. We could watch bird TV, with the channel set to social  
581 development in cowbirds. After much searching we found a small farm with a  
582 great barn and a garage and we moved into more permanent quarters. Designing  
583 and building outdoor aviaries consumed much time in the first year but not so  
584 much that we could not follow up on cowbird #62's response to playback. We  
585 raised a new set of females and did playbacks to them of several cowbirds songs  
586 plus the songs of heterospecifics. They responded with copulatory postures and  
587 surprisingly responded more to the song of male cowbirds that sang atypical  
588 songs because they had been raised out of earshot of other cowbird males. Why  
589 would such song be more potent? We published the results of Science, our first  
590 collaborative paper and began to chart a five-year plan of research ((King & West  
591 1977). Harriet was somewhat frustrated by the competition that birds gave to  
592 watching babies but was enough of a comparative psychologist to know that we  
593 had to pursue this anomaly as it might tell us something important about the

594 development of song, and she was for any kind of developmental research. She  
595 would be pleased to know that in the last few years both Drew and I have begun  
596 doing as much infant as avian research and finding intriguing similarities on early  
597 stages of vocal development.

### 598 **Animal Behavior Farm**

599 Beginning in the late 1970's, Drew and I became a formal research team  
600 (co-PIs on grants) as it fit both of our interests and needs, i.e., the practicalities of  
601 doing the avian research pretty much single-handedly off campus with none of  
602 the traditional help a university might provide from animal care to technical  
603 assistance. We had decided that an independent lab/farm would keep us out of  
604 the space wars that occurred on campus and more importantly no one knew  
605 more about the care or housing of a wild species than we did and thus had no  
606 one on campus available to help in a meaningful way. We had also learned at  
607 Cornell the importance of easy access to one's lab and so living at a farm with  
608 the right facilities seemed the optimal course. I believe many of our earlier  
609 studies could not have been done any other way and the same is still true today.  
610 The best ideas still come from watching the birds. We generally feed and care for  
611 the birds ourselves because it keeps us closely tied to the animals' behavior. I  
612 think I remember a story about Konrad Lorenz and someone asking him, after he  
613 had won his Nobel, why he did not have a technician do the feeding and he  
614 quipped that he did not see why someone else should have all the fun.

615 Fortunately we were successful in grant writing from NIMH and NSF. The  
616 grant funds also intersected with the practical question of one vs. two tenure

617 track jobs. We did not see how we could accomplish our research goals and  
618 have a family if both of us had the full measure of academic duties. We also saw  
619 how hard it was for friends who chose the two-job route to balance raising  
620 children with a research career. And so, when a tenure track job became  
621 available at Duke, Drew passed on it but remained an adjunct member. It was a  
622 risky move, especially given our unusual lab arrangement. But grant money not  
623 only facilitated the research but it also helped to legitimize the arrangement: PIs  
624 providing their own personal laboratory on private property. The off campus lab  
625 also had the advantage of allowing us to move quickly to construct new aviaries  
626 or modify existing structures without the “help” of a University architect or the  
627 physical plant.

628         Our approach might not seem odd to field workers and in many ways they  
629 represented a better model than lab workers. We firmly believed that the social  
630 ecology in which we kept the birds was playing a major role at a time when  
631 birdsong research focused almost entirely on vocal cues. Most birdsong  
632 researchers who studied development also used more stringent deprivation  
633 paradigms, often raising young males alone to reveal the “isolate” song of the  
634 species which was considered some kind of genetic blueprint for song ontogeny.  
635 We did not believe that solitary housing was developmentally legitimate because  
636 in nature although social conditions varied by species, young songbirds did not  
637 live in social isolation, thus it seemed evolutionarily suspect to use this as a  
638 baseline condition. But we still fell to some extent for the typical avian lingo and  
639 referred to our males who were raised with females (who did not sing) or other

640 species as “isolate” males until it became abundantly clear that the term was a  
641 misnomer which we explored in a paper entitled “Enriching cowbird song by  
642 social deprivation” (West & King 1980).

643         During the late 1970’s and 1980’s we tackled the social question of why  
644 cowbirds with “abnormal” songs were preferred by females in playback studies.  
645 First, we built aviaries in which to watch flocks of birds as well as using indoor  
646 flight cages and more sound attenuating chambers. We also recorded cowbird  
647 song in the various housing contexts. We found the acoustic basis of greater  
648 song potency, with the help of J.E.R. Staddon at Duke University, who worked  
649 with Drew using a zero-crossings analyzer (ZCA), which allowed the user to see  
650 the song in real time without the frequency/time trade-off inherent in sonograms  
651 (West, King, Eastzer, & Staddon 1979). Real time analyzers are now  
652 commonplace and inexpensive but John’s invention was very new at the time.  
653 John’s main area was animal learning but was very open to ethological  
654 considerations and thus was an excellent collaborator.

655         During our tenure in NC, cowbirds were a common but not an abundant  
656 species; the population was a relatively new one from a phylogenetic point of  
657 view. We decided, with the help of a student, David Eastzer, to look at other  
658 populations of cowbirds and see if we saw the same pattern of vocal  
659 development and function e.g., (Eastzer, King, & West 1985). Each summer  
660 David would pack up his pick up truck with a portable cage in the bed and drive  
661 to Texas or Oklahoma to record more ancestral populations. All in all, he studied  
662 8 populations and found variation in song to be the rule. He also looked at border

663 populations between two cowbird subspecies and found an interesting mix of  
664 songs from both populations and when we brought females from that area back  
665 to the lab we found they had very broad preferences for the mix of songs present  
666 on their natal grounds. The comparative work on geographic variation fascinated  
667 us because we saw so much variation within subspecies, as well as across  
668 subspecies (King & West 1990). In retrospect, it is not as surprising given the  
669 ecological differences between populations from the nature of the habitat to the  
670 degree of migration. Cowbirds are found across North America thus there was  
671 much to differ in their life histories (Rothstein, Yokel, & Fleischer 1986).

672         Our home/lab became an even more treasured resource once we had  
673 children; it also reinforced our job decisions. At that time, babies at home and  
674 work on campus presented us with every parents' major dilemma, "How do I  
675 make time for both kids and work?" Even with our caretaking arrangements, I  
676 confess that the "kid/job" conundrum posed difficulties at least at a mental level.  
677 When in at the office I thought I should be home, and vice versa. But it was  
678 possible to divide the time between our two schedules and care for our sons at  
679 home. Raising human infants while raising birds also had much in common in  
680 that the adult/caregiver was not really in charge, the demanding youngsters took  
681 center stage. Having our lab at home also meant that our biological and  
682 academic children met and interacted. When our oldest son was about five, he  
683 asked whether David Eastzer was his older brother. A perfectly appropriate  
684 question given that David spent so much time at our home/lab. He received the  
685 real thing two years later and dove right into the role of sibling.

686           It was during the early child rearing years that two very fortunate things  
687 happened, albeit of very different natures. First, I received a five-year career  
688 development award from NINCDS, freeing me up from any teaching duties.  
689 Thus, we could focus full time on the latest interest in the lab, the role of  
690 nonsinging females in song development in young males. We had raised young  
691 males only with females who could not sing. Thus, they could provide social but  
692 not vocal stimulation. It took much exploring to discover the signal system of the  
693 females, which turned out to be visual gestures such as wing strokes and gapes  
694 to song onset. Thus, we discovered a remarkably open system based on social  
695 learning (King & West 1983a; West & King 1988). This was the second lucky  
696 event, a turn in the research that was unheard of in the field of birdsong. We  
697 were led to find a visual gestural system by videotaping the males when singing  
698 to females and saw that at times the males became very excited. When we  
699 traced back the males' footsteps, we found the female's very rapid gestures were  
700 hard to see with the naked eye as they lasted only 200-300msec (West & King  
701 1988). What was groundbreaking was that the males were not learning by  
702 imitation, but through trial and error or operant shaping. The males had to read  
703 the female's behavior but not repeat it. Imitation, the thought-to-be core learning  
704 mechanism was not at work. Although the birdsong literature was beginning to  
705 find social effects to be important in species where male tutoring took place, this  
706 was the first evidence of female tutoring and a role for visual stimulation in vocal  
707 development. The nature of this finding was sufficiently surprising to some  
708 reviewers so as to cause them to propose that the females must be secretly

709 singing. We pursued the effect in a series of papers and the social role of female  
710 behavior to structure male vocal and social behavior continues to be a theme in  
711 our work.

712 **Indiana.**

713         While our years in North Carolina were productive, we missed the  
714 integrative environment we had known at Cornell. UNC did not offer the  
715 transparency between programs that we had grown up in at Cornell. We did not  
716 have access to graduate students who came in through biology, and psychology  
717 had no program in animal behavior (although the developmental division  
718 supported animal work). There was no way to attract students interested in  
719 integrative work. Thus began a search for a school offering a more  
720 interdisciplinary program, as well as good access to cowbirds, and a nice place  
721 to live for our kids. I visited a number of schools in the Midwest, but after  
722 searching for a year Indiana University stood out because of the nature of its  
723 psychology and biology programs. The IU psychology department could not  
724 understand that we were not angling for two jobs and that Drew was perfectly  
725 content with a senior scientist position, a decision we have never regretted. We  
726 were invited to join Psychology in 1989, we were very welcome in the Biology  
727 Department, in fact, our first student at IU, Todd Freeberg, was in the ecology  
728 and evolution program, thanks to the efforts of Ellen Ketterson, Val Nolan, and  
729 Bill Rowland. I also became a member of the IU Biology Department in 1991.

730         Our move to Indiana was complex because we wanted to build a bigger  
731 lab and we wanted it to be within 10 minutes of campus to facilitate student

732 participation. All moves for senior faculty are difficult because so much must be  
733 disassembled and re-created in both personal and professional lives. Many  
734 people went far out of their way to help find a property for our lab, with Lloyd  
735 Peterson, and his wife, Peggy, leading the list, which also included Bill  
736 Timberlake, Rod Suthers, and Esther Thelen. Having built a lab at Cornell and  
737 then on a bigger scale at UNC, we had in mind some basic principles that had  
738 guided us along the way. We had limited resources in both prior settings, first, as  
739 grad students, and then as faculty at UNC but with no funds for start-up. At  
740 Indiana, the Psychology department, the Dean's office, and NSF through CISAB  
741 all contributed financially to our new laboratory named the Animal Behavior Farm  
742 as we had bought a small farm including a house for student offices and a  
743 kitchen to make food, a metal out-building to house equipment, flight cages, and  
744 our sound analysis equipment, and finally, large outdoor aviaries with shelters. It  
745 took a year to find an appropriate site: the land was too perfect to pass up and so  
746 we bought it with the commitment to build a home within a few years. Before that  
747 we lived in an IU dorm and then in the renovated old farmhouse at the lab.

748         We landed at IU at an especially crucial time as they were submitting a  
749 grant to NSF to develop interdisciplinary work in animal behavior, by gathering  
750 together faculty in biology, psychology, and medical sciences. The co-directors  
751 were Bill Timberlake and Ellen Ketterson. The Center for the Integrative Study of  
752 Behavior (CISAB) has grown to a faculty of over 40, an expanded mission in  
753 teaching and research, and hundreds of students have used CISAB's resources  
754 and gone through its program. One the most distinctive features of the Center

755 was its commitment to equipment such as computers, microphones, tape  
756 recorders, and finally a DNA and endocrinology lab run at first by Dr. Amy  
757 Poehlman. Dr. Shan Duncan ran the technological side of the center for many  
758 years and helped many students and faculty as well creating connections to the  
759 Animal Behavior Society. But the most distinctive mark of CISAB was the  
760 commitment to vertical and horizontal integrative training through courses,  
761 colloquia, and research plans. The nature of our lab and CISAB allowed us to  
762 attract graduate students seeking integrative training which we saw as critical to  
763 our long term goals to integrate communicative development in birds with  
764 converging studies of human communicative development.

765         Needless to say, the faculty in the developmental program in Psychology  
766 was the most compelling factor in our transition. Esther Thelen, Susan Jones,  
767 Linda Smith and Jeff Alberts formed the core of the developmental program, and  
768 we were soul mates with them in terms of approaches to developmental  
769 questions. The rest of the faculty contained many luminaries, making the new  
770 department even more inviting. Indiana was just beginning to support integrative  
771 research on a large scale. Our students had more resources to use and more  
772 faculty to choose for their committees. I must also add that the then Dean, Mort  
773 Lowengrub, never blinked an eye at our request to build an off campus lab that  
774 they would help fund and used the weight of his office to eliminate bureaucratic  
775 obstacles. The administrative web eventually included many people who were  
776 supportive but Mort's enthusiasm and confidence stood out. Every subsequent  
777 Dean and system president has been helpful.

778           During my time at IU, I assumed new duties as Editor of Animal Behaviour  
779 from 1991-1994. Ellen Ketterson was the editor for Short Communications, and  
780 Kris Bruner, the managing editor, began her long productive association with the  
781 journal on our watch. This was before the journal had decided to go the multi-  
782 editor system, which began in a small way in my final year as editor. Now there  
783 are four or more American editors and an equal number on the other side of the  
784 Atlantic with our sister organization, ASAB. After the editorship, I was elected  
785 President of ABS and served during tumultuous times as we wrangled with ASAB  
786 and the publisher about profits and managed to strike a new deal giving the  
787 American executive editorial office more money which we dearly needed to keep  
788 up with the speed with which papers arrived at our door. We also established a  
789 central office for the journal at Indiana, ably staffed by Steve Ramey, Kris Bruner,  
790 and Lori Pierce. We could not have found a better or more dedicated manager  
791 than Steve who has spearheaded all of the features of the editorial process that  
792 now are handled electronically. Later, in 2000, I became editor of the Journal of  
793 Comparative Psychology, with Sue Linville as a wonderful managing editor. JCP  
794 attracted a somewhat different audience than Animal Behaviour, many more  
795 experiments on cognition, especially in non-human primates. I did try to  
796 represent other taxa as well, and other topics. So my childhood worry about  
797 sufficient reading material was somewhat assuaged. Journal work as Lee  
798 Drickamer, the previous editor, told me is “relentless” and follows you  
799 everywhere. But I truly enjoyed learning about the creative questions  
800 investigators thought up to ask and clever ways of answering them.

801 **Aviary work.**

802           On the research front, we were shifting our basic experimental designs to  
803 meet the affordances offered by flocks in the spacious aviaries. Thus, the major  
804 structures, outdoor-indoor aviaries at the Farm were the heart and mind of the  
805 lab. The experiment that set the tone for the next decade was done with Todd  
806 Freeberg (Freeberg, King, & West 1995). We used all our different facilities. First,  
807 we individually housed young wild caught SD male cowbirds with either SD  
808 females (FH) or canaries (CH). They lived together through the fall into the  
809 spring. The birds were housed in sound attenuating chambers (1m<sup>3</sup> with a one  
810 way window). Then, in early May, we moved the males to flight cages (2.4m x  
811 1.8m x 1.8m) with the 5 males in each group housed together. We had never  
812 done this before, systematically looking at how birds react to the greater freedom  
813 of the flight cage. At first, it seemed there would not be much to see as the birds  
814 sat quietly hardly moving—any sound sent them flying in a frenzied fashion with  
815 the males seemingly intent on not landing on a perch containing another male.  
816 And for some reason, the CH birds seemed to be more affected than the FH  
817 birds. But within a week, they were much calmer singing to themselves or to the  
818 canaries housed with the CH males. One of the reasons we had housed them in  
819 chambers was to control their experience with other cowbirds. So the CH group  
820 represented the closest we came to an isolated male. The FH males were also  
821 isolated from males but presumably were being socially tutored by female  
822 cowbirds. We saw this experiment as a definitive test of whether cowbirds had  
823 open or closed systems with respect to species identification. If the cowbird

824 system was innate, as many presumed, all the males should end up courting  
825 local females.

826         We set up a test of social recognition by introducing the males individually  
827 into a neutral cage containing local female cowbirds and canaries. The FH males  
828 reacted in what appeared to be species-typical manner approaching and singing  
829 to females and ignoring the canaries. The CH males were different: the males  
830 courted the canaries singing and chasing them. Thus, socially isolated cowbirds  
831 do not have a template for species recognition; it is acquired through experience  
832 with adult females. We assumed adult males played a role as well, and our  
833 confidence in that statement grew when we placed all of the FH and CH birds in  
834 two large (18.3m x 9.1m x 3.7m) aviaries containing many potential mates.  
835 Included were female cowbirds from NC and SD and well as IN, canaries, and  
836 starlings, a novel species. Each day we recorded their singing, mating and social  
837 behavior. Much to our surprise, the CH males continued their canary pursuit  
838 ignoring other cowbirds most of the time. And so even seeing more normally  
839 raised males did not induce social learning. But the FH birds were not very good  
840 models, because here in the aviaries, they also did not court the solicitous  
841 females, nor did they sing much to other males. Typically male cowbirds  
842 exchange songs with one another in a behavior known as countersinging: we  
843 saw nothing like it.

844         As a last gasp effort to extract species typical behavior we introduced  
845 adult males who immediately began to court and countersing. But seeing adult  
846 models caused no change in the CH and FH males. Obviously for male

847 experience to be effective they must interact much earlier in the year. This set of  
848 experiments represented a turning point in the lab...now that we saw the  
849 difference in behavior between conventional housing and the aviaries, we knew  
850 that work with aviary flocks would continue to be necessary. This work also  
851 clearly showed the limitation of assessing song quality by playback as males  
852 needed to learn to use their songs regardless of quality.

853         Todd Freeberg turned to aviary housing to do a daring dissertation of the  
854 cultural transmission of mate preferences, showing that mate preferences were  
855 influenced by postnatal experience with adults and that preferences could be  
856 passed on to the next generation (Freeberg 1996). The experiment was daring  
857 because the birds were outside and could see and hear wild cowbirds and yet  
858 appeared to be only influenced by the birds within their aviary. So social  
859 interactions appeared to matter greatly. We have since shown that song sharing,  
860 commonly seen in the field, can be limited by a transparent aviary wall separating  
861 two flocks: we saw no song sharing across flocks, but did see it within flocks. We  
862 have repeated this test with eight flocks with the same result each time. Thus, it  
863 became clear that social context predicted song learning, not simply exposure to  
864 song. This led us to start to investigate the role of larger flock dynamics to gate  
865 social stimulation.

866         Studying birds in flocks brought on many new methodological issues such  
867 as how to record flock dynamics. Anne Smith, a student in Biology, got us started  
868 with a study of a large flock of 74 birds, in which she used paper and pencil  
869 measures of near neighbor patterns (Smith, King, & West 2001). These patterns

870 allowed us to see structure in the flock with birds assorting by age and by sex.  
871 But Anne also found that juvenile males whose second most frequent neighbor  
872 was an adult male fared better in the breeding season. And males for whom  
873 females were the second most frequent neighbors had males whose song  
874 development progressed faster than that of other juvenile males. This finding fit  
875 with earlier IU work on male and female influence using conventional housing  
876 and demonstrated the role of social structure to direct different developmental  
877 outcomes. Anne also analyzed DNA from the 74-bird flock and found no  
878 relationship between kin in terms of social assortment. We had long wondered  
879 what kin relations would look like since cowbirds can potentially have many  
880 siblings due to their brood parasitic habit.

881         But the problem with more birds was not space but methodology. We had  
882 reached the limits of paper and pencil: we needed a way to gather more data  
883 from many birds simultaneously. We began to look into alternative methods and  
884 with the help of Shan Duncan and a new postdoctoral fellow David J. White. We  
885 developed the use of voice recognition software so that observers could “speak”  
886 the data into a wireless lapel microphone without looking away from the birds and  
887 the information was transmitted from the aviaries to the lab building where  
888 computers received the codes and a database organized them into summary  
889 tables (White, King, & Duncan 2002). We found we could take many times more  
890 data and could for the first time record detailed reactions to songs in real time,  
891 not just the songs themselves. For example, in our first large flock study over an  
892 8-month period four observers collected approximately 32,000 data points and

893 entered the data manually into a database. By contrast using voice recognition  
894 and programmable databases, four observers can collect and analyze a  
895 comparable data set in about 20 days. With Dave as a collaborator, we went on  
896 to study social development of males housed since fledging in flocks ranging  
897 between 20 and 30 birds. Dave spearheaded an effort to look at juvenile male  
898 song and social development as a function of social context, i.e., the presence or  
899 absence of adult males (White, King, & West 2002). We found that males without  
900 adults differed on many dimensions from juveniles with males (all had females  
901 present). A behavior that emerged as important was counter-singing (CS), the  
902 behavior that we had found to be absent when males were housed with just  
903 females. CS consists of rapid exchanges of song between two or more males.  
904 We found that the males without adult males did not show CS but those housed  
905 with adult males did. Although females were not the targets of CS, they did  
906 appear to notice the behavior, as we found that CS correlated with the number of  
907 eggs laid (King, White, & West 2003). Further studies revealed the importance of  
908 social learning to CS and we found that CS could be transmitted from one  
909 generation to another. But we also found the absence of CS could be transmitted  
910 to a new generation of males (White, Gros-Louis, King, Papakhian, & West  
911 2007). So, competence and incompetence were under cultural control.

912         The efforts are still ongoing but one enormous surprise was the finding  
913 that the males who seemed most dominant and generally singing the “best”  
914 songs did not sire the most eggs. This finding was particularly apparent when  
915 individuals were followed over several years interacting with different social

916 companions from year to year. Thus, the ability to measure cumulative  
917 reproductive success over several years is beginning to present us with a very  
918 different picture. In particular, the role of singing performance and the ability to  
919 adjust behavior from year to year may well turn out to be critical to understanding  
920 reproductive success. Many ideas about the functional consequences of different  
921 male phenotypes can now be addressed. These findings have led to  
922 investigating the importance of communicative pragmatics. This work extended  
923 the Freeberg et. al. (1995) findings with CH males to show the role of exogenetic  
924 stimulation not only to alter development but to connect to cumulative  
925 reproductive success bringing our measures to the threshold of fitness.

926         We did not ignore the females in our expanding work with flocks. We had  
927 done a series of developmental studies using restricted housing in the early 80's  
928 and had concluded that females, unlike the males, had a closed program of  
929 species recognition, a finding we replicated with several geographic populations  
930 (King & West 1983b). All of those studies housed females in small groups in  
931 sound attenuating chambers with or without a male and found no evidence that  
932 female preference for male song could be modified. Now in the flock setting we  
933 discovered a completely different picture of the development of female  
934 preferences. We raised one flock of only females. The females could of course  
935 hear male cowbirds outside the aviaries. We then tested the females' playback  
936 preferences for local and distant South Dakota and Texas song (King, West, &  
937 White 2003). The results from the all female flock were surprising as adult  
938 females showed no preference for local song over those of other subspecies.

939 Neither did juvenile females. The finding was especially dramatic with respect to  
940 Texas song in that those songs were lexically very different from Indiana song.  
941 This was astounding because all females we had ever tested for local vs. distant  
942 population preferences preferred local songs. Thus housing females in a flock  
943 without males had erased preferences for the adult females at the macro-  
944 geographic level. We went on to show that contact with males mattered, even if it  
945 came from tape recordings of male song. Thus, by tape or live tutoring outdoors  
946 where wild cowbirds were present, we could induce specific preferences in the  
947 female cowbirds when they were housed in flocks (West, King, White, Gros-  
948 Louis, & Freed-Brown 2006). Thus, we could now see that the restricted housing  
949 work of the 80's had the effect of freezing female preferences while the flock  
950 studies revealed that when females can observe groups of other females react to  
951 male behavior their song preferences were easily changed. This work dispelled  
952 the notion of a genetically predetermined safety net for reproductive behavior.  
953 Thus, the flock studies on the development of male singing performance as well  
954 as female preferences showed the presence of an exogenetic mechanism.

955       Largely through the work of Grace Freed-Brown we were also beginning  
956 to learn something about the development of female social dynamics in flocks  
957 and found important differences in the interaction patterns of juveniles versus  
958 adults, with the juvenile females being much more active and less discriminating  
959 in their choice of social partners (Freed-Brown, King, Miller, & West 2006). With  
960 Jennifer Miller, we also found that flock housed young females (reared from the  
961 egg) showed no evidence of same sex sociality as juveniles (Miller, Freed-

962 Brown, White, King, & West 2006). Thus, like males, females did have to engage  
963 in early learning, presumably from adults, in order to show species-typical  
964 behavior of strong assortment by sex. Taken as whole, these studies begin to  
965 show us the social mechanisms actually responsible for female preferences and  
966 we believe that these types of studies which will increasingly use social  
967 networking statistics to describe the developmental environment that is the safety  
968 net for the acquisition of appropriate reproductive behaviors in both males and  
969 females. Thus, we see network statistics replacing the ghosts of the innate safety  
970 net.

971 Flock studies are ongoing with an emphasis on vocal improvisation and its  
972 relation to pragmatic ability in young males as Jennifer Miller has found that  
973 males raised with adult females improvised more than juvenile males with  
974 juvenile females and the adult housed males showed superior courtship skills in  
975 their first breeding season. Thus, it appears that the adult females were socially  
976 shaping the song content as well as how to deliver the song. Improvisation has  
977 not been studied in anywhere near the detail of song copying but may well prove  
978 to be an engine of pragmatic skills. We believe that there is at present a 'missing  
979 link' in connecting birdsong capacity to birdsong competence, e.g., the use of  
980 song as an effective elicitor of reproductive behavior in females. The common  
981 assumption is that competence simply flows from some possibly innate by-  
982 product of birdsong development. Understanding the dimensions of competence,  
983 or what we call "communicative pragmatics" can be summed up as answering  
984 the "wh" questions, the "who," "what," "where," "when," and "why" of singing

985 performance. We presently are investigation how young birds a) learn how to use  
986 their signals though social modeling and social operant learning and b) learn to  
987 lengthen their attention span so as to be able to acquire critical feedback from  
988 social companions. Indeed, we believe that acquisition of attention span, also  
989 critical for human communicative development, becomes the root mechanism for  
990 learning and using birdsong in myriad ways including territorial encounters, song  
991 sharing and mating.

992         During the years of cowbird work, two other lines of research were leading  
993 us to the same conclusion about the importance of social shaping. First, we  
994 worked for several years with starlings; many of who were hand reared and kept  
995 in human homes under different social circumstances. We found that starlings  
996 engaged in vocal sonar and their repertoires showed evidence of human shaping  
997 as they included human words if they had lived in interactive contact with a  
998 human (West, Stroud, & King 1983). Interactive contact simply meant that birds  
999 had much more social freedom than the control birds and routinely socialized  
1000 with humans. Marianne Engle completed a dissertation of the social  
1001 circumstances for mimicry and created a scale of interactivity. Starlings are an  
1002 intimidating species to work with because their song is so much more complex  
1003 than that of cowbirds, but they adapt marvelously to captivity and there is now a  
1004 niche in the bird lover's world devoted to "pet" starlings, with terrific material on  
1005 the web.

1006         We also explored the life of one starling in depth, a starling that had been  
1007 owned by Wolfgang Amadeus Mozart for three years. We were curious about the

1008 relationship, which we pictured as a comical, and affectionate based on reports  
1009 from our 1980's starlings. We also thought that Mozart left a requiem for his pet,  
1010 the piece known as the Musical Joke K522, so-called because it has fragmented  
1011 parts that do not quite come together and much repetition, including a nine trill  
1012 note that sounded to us like the contact call of our 20<sup>th</sup> century starlings: they  
1013 were idiosyncratic calls to say the least but their function seemed clear. Thus, we  
1014 pictured Mozart's incorporating starling phraseology into a simple folk song. We  
1015 wrote a paper about the whole historical adventure and its relevance to social  
1016 shaping for the American Scientist (West & King 1990). It is safe to say that it is  
1017 the article most requested of anything we have ever written. I talked about the  
1018 experience at an AAAS convention and the press got hold of it in 1991, the 200th  
1019 anniversary of Mozart's death. Our story became a popular part of Mozartiana  
1020 and the report was in many science and popular magazines, newspapers, and  
1021 radio...we still get requests for interviews 17 years later. I also get e-mails from  
1022 starling owners wanting advice about health and food. Each story is a variation  
1023 on the theme of "I found this ugly looking little bird cheeping near my home and I  
1024 fed it for a few days (usually dog food which was not that bad of a choice) and  
1025 before I knew it I was in love with the most imperious young bird I have ever  
1026 met." I still hear from owners with updates, as the birds can live into their teens in  
1027 captivity.

1028           But the starling work was also important because it showed another  
1029 improvising species that was affected by social contact. We had had a second  
1030 group of starlings in our first experiment that lived as caged pets in human

1031 homes and received good but not extra-ordinary care like playing in the kitchen  
1032 sink. They were raised as most caged birds are raised. They mimicked  
1033 household sounds but no human voices. Thus, the interactive contact, as in  
1034 cowbirds, mattered. Merely hearing and seeing human voices was not enough.  
1035 These findings served to deepen our interest in social shaping, especially what  
1036 we saw as similarities to the development of human speech. This interest began  
1037 with the work of Michael Goldstein. He decided to follow up on the wing stroking  
1038 work in female cowbirds by looking at prelinguistic communication in human  
1039 infants. Like the cowbird work, he set out to capture the nature of social maternal  
1040 responding to the activities of their children. We first found that mothers  
1041 perceived different infants' communicative signals in a consistent manner,  
1042 ascribing the same function to the different sights and sounds (Goldstein & West  
1043 1999). This was important because it meant there was something in the  
1044 prelinguistic sounds to hear, i.e., communicative meaning. But Mike's most  
1045 important achievement occurred when looking directly at social shaping. He  
1046 compared infants whose mothers delivered either contingent or non-contingent  
1047 non-vocal feedback in an ABA design. He found that infants whose mothers were  
1048 contingent responders had infants who showed advanced phonology in the  
1049 second A trial, whereas infants whose mothers were non-contingent did not.  
1050 Thus, we had strong evidence that social shaping of vocal structure also  
1051 occurred in humans; at a point in development comparable to the time we saw it  
1052 in birds (Goldstein, King, & West 2003). The PNAS paper garnered much media  
1053 attention, second only to Mozart's starling. I think it was of interest because of

1054 the comparative theme and the fact that the effect did not depend on imitation.  
1055 Imitation is the supposed mechanism in both species for vocal learning, but  
1056 neither the babies or male cowbirds were copying their partner's behavior but  
1057 extracting from it information about what sounds were effective in getting a  
1058 response.

1059         Julie Gros-Louis, a postdoctoral fellow, with a background in primatology  
1060 and communication brought a fresh eye to both the bird and baby work. Julie  
1061 analyzed the content of mother's behavior during free play and found the  
1062 mothers responded differentially to infant behaviors and sounds, affording the  
1063 infants contingent feedback that varied with the infant's vocal or behavioral action  
1064 (Gros-Louis, West, Goldstein, & King 2006). Because the avian work points to a  
1065 fundamental role for the development of attention to predict vocal and pragmatic  
1066 skills we are beginning to study the development of attention in prelinguistic  
1067 infants. Specifically we are investigating the role of caregiver contingent  
1068 stimulation to lengthen or shorten infants' attention. Erin Ables and Jennifer Miller  
1069 are just completing a series of studies that demonstrated that infants show  
1070 differences in attention span depending on the nature of caregiver contingencies.  
1071 We are doing similar studies in the cowbirds looking at directed or undirected  
1072 song as proxies for attention or inattention. Juvenile males, housed with juvenile  
1073 females, show shorter attention spans, for example, than juvenile males housed  
1074 with adults. We should note that Jennifer Miller is the first student we have had  
1075 that simultaneously has initiated research in both the bird and baby labs and  
1076 used observation and theory from both preparations to guide a parallel research

1077 program. This has been a long term goal of our research to have both labs ask  
1078 essentially similar questions of both birds and babies but to do so in the same  
1079 time frame thus driving a comparative synergy.

1080 **Summary.**

1081 I have used the word “surprised” several times in the text to capture our  
1082 emotions at experimental outcomes. We stand by this attribution and it is our  
1083 fondest wish that there are more surprises to frame our future. But it is especially  
1084 important to remember the first “flashbulb” surprise: the deprived female’s  
1085 copulatory response to song. We knew we were being given a chance to ask  
1086 very different questions about mate choice. Her greater response to the songs of  
1087 deprived males suggested we had an adaptive “story”: cowbirds, with no  
1088 experience with one another, appeared to have the ultimate safety net to insure  
1089 mate selection. The male side of the story was just as exciting as in most species  
1090 isolate songs were less effective than normal songs in eliciting copulatory  
1091 responses, but in cowbirds, atypical songs seemed to be more potent than  
1092 normal songs. Thus, without experience on either side, mating mechanisms  
1093 seemed to be in place, suggesting that females, through sexual selection, mated  
1094 with males with the “best” song. What a great story for a brood parasite who  
1095 would seem to need especially closed programs to engage in species and mate  
1096 recognition.

1097 The “closed program” story began to unravel when we used more  
1098 naturalistic settings and stimulation. First, we found that females living in flocks  
1099 showed no song preferences. We surmised that competition among the females

1100 induced plasticity. Second, we discovered that we could manipulate preferences  
1101 through social housing, something we had not seen in more conventional  
1102 housing. We also had to come to grips with the finding that silent females  
1103 affected song content and quality. These data suggested that sexual selection  
1104 might not be focused on song quality, but song use. If so, females may be  
1105 selecting on the basis of male learning and attentional processes, especially by  
1106 males watching females. Thus, non-imitative song learning was as basic to song  
1107 development as imitation. We think, but do not know for sure yet, that improvising  
1108 males may be the product of more attention to female responsiveness. Thus,  
1109 females are using song use (directed song, countersinging) when it available as  
1110 a cue. The missing link was connecting male and female development. Ironically,  
1111 we had always had access to female influence, as we never raised birds alone  
1112 but thus used females as a control for housing with males. Even the males  
1113 deprived of male company, say in the very first experiment with naïve males, had  
1114 either other species or females with them. Gottlieb had frequently talked about  
1115 non-obvious influences on development in his ducklings (such as prenatal  
1116 vocalizations) and we considered the females' effect to be analogous.

1117       Thus, the “adaptive story” of the lock and key female response to song  
1118 turned out to be deceptive. Until we understood the sensitivity of the  
1119 development of male song and social behavior to social context along with  
1120 modifiable female preferences also responsive to context, we did not see that the  
1121 actual safety net was an exogenetic mechanism afforded by a stable social  
1122 ecology. In the early 70s when we started our research program we were

1123 profoundly influenced by the developmental work of Marler, Gottlieb, Lehrman,  
1124 and Tinbergen, among others. At the time an understanding of development  
1125 seemed to be central to the investigation of the evolution and function of  
1126 behavior. We believe that the single most significant contribution of our work is to  
1127 remind others of that fact. At the present time, it is our perception that many  
1128 investigators do not consider it necessary to know the development of a behavior  
1129 to understand its function or what has been selected by evolution as the mature  
1130 behavior is assumed to be the endpoint (West, King, & White 2003). This  
1131 convenient illusion is typically supported by a failure to understand individual and  
1132 geographic variation of behavior that can be supposed to be genetic rather than  
1133 ecologically driven. Even in research that focuses on the neural or hormonal  
1134 basis of behavior the present reliance on the lock and key connection of male  
1135 song production and female reaction to song in a restricted housing preparation  
1136 is likely to be misleading about the systems responsible for reproductive  
1137 behavior. Consider that female cowbird song preferences are “frozen” by the  
1138 restricted housing setting.

1139       Thus as we enter the late innings of our career, we are saddened by the  
1140 present trend which seems to de-emphasize an appreciation of the essential  
1141 importance of the development of behavior. Oddly enough the fact that we were  
1142 so taken in by the power of innate behavior in the early innings to provide  
1143 evolutionary answers both makes it easy for us to understand the attraction of  
1144 this perspective but it also provides us with the motivation for our continued  
1145 research efforts. We owe a lot to the illusion of an innate answer to provide

1146 opportunities for surprises which inform about the stability of exogenetic  
1147 mechanisms that evolution has chosen to trust for the constructive transmission  
1148 of critical reproductive behaviors.

1149 **Conclusion.**

1150           As I look to the future, I see more birds and babies but I do not see South  
1151 American cowbirds or the Galapagos, goals that motivated us during the earliest  
1152 years. We have found our wheel house looking at the myriad ways that social  
1153 experience modifies developmental trajectories. To reduce the work to the  
1154 simplest terms our research argues that nature and nurture exist in inherited  
1155 niches—genes inherit environments, in other words, species-typical surroundings  
1156 that shape the contribution of nurture (West & King 1987). To paraphrase Mace,  
1157 what we believe is that it's not “what's inside your genes that matters but what  
1158 your genes are inside of.” Elsewhere we have referred to our exogenetic theme  
1159 as a message in a bottle hugging the shore of seas roiled by the Human Genome  
1160 Project (West & King 2001). We are up against formidable theories and habits  
1161 contained in the biological frame of “genes for x”. But, in the end, as been shown  
1162 to be true for genetic explanations, one has to confront an environment and trace  
1163 its direct and indirect effects. This gives us hope that our words and deeds will be  
1164 seen for what they are: descriptions of developmental contingencies that require  
1165 the “right” environment in order for ontogenetic principles to appear.

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