

Michael D. McGinnis

Professor, Department of Political Science, and
Director, Workshop in Political Theory and Policy Analysis
Indiana University, Bloomington

Principal Investigator, Managing the Health Commons
ReThink Health Initiative, The Fannie E. Rippel Foundation

December 2011

Academic Appointments

Director, *Workshop in Political Theory and Policy Analysis*, Indiana University, Bloomington
Professor, *Department of Political Science*, College of Arts and Sciences, IU, Bloomington,
(appointed as Assistant Professor 1985, Associate Professor 1991, Professor 2003)
Adjunct Professor, Governance and Management, *School of Public and Environmental Affairs*
(*SPEA*), IU Bloomington
Affiliated Faculty, *IU Center on Philanthropy*, Indianapolis, IN
Principal Investigator, Managing the Health Commons, ReThink Health, an initiative of the
Fannie E. Rippel Foundation

Education

Ph.D., Political Science, University of Minnesota, Minneapolis, August 1985
Dissertation: *Arms, Aid, and Allies: A Formal Model of the Security Policies of Regional Powers*, Advisors: John H. Aldrich and Brian L. Job
B.S., Mathematics, Ohio State University, Columbus, June 1980
Cum Laude, With Honors in the Liberal Arts, Phi Beta Kappa, Political Science Minor

RESEARCH

Books

- Michael D. McGinnis and John T. Williams, *Compound Dilemmas: Democracy, Collective Action, and Superpower Rivalry*, University of Michigan Press, 2001.
- Michael D. McGinnis, editor. *Polycentric Games and Institutions: Readings from the Workshop in Political Theory and Policy Analysis*, Univ. of Michigan Press, 2000.
- Michael D. McGinnis, editor. *Polycentric Governance and Development: Readings from the Workshop in Political Theory and Policy Analysis*, Univ. of Michigan Press, 1999.
 - Translated into Chinese by Wang Wenzhang and Mao Shoulong. Shanghai, China: Shanghai Joint Publishing Corporation.
- Michael D. McGinnis, editor. *Polycentricity and Local Public Economies: Readings from the Workshop in Political Theory and Policy Analysis*, Univ. of Michigan Press, 1999.
 - Translated into Chinese by Mao Shoulong and Li Mei. Shanghai, China: Shanghai Joint Publishing Corporation.

Journal Articles and Book Chapters

- Michael McGinnis and Vincent Ostrom. 2012. "Democratic Transformations: From the Struggle for Democracy to Self-Governance?" In *The Quest to Understand Human Affairs: Essays on Collective, Constitutional, and Epistemic Choice*, vol. 2. Edited by Barbara Allen. Lanham, MD: Lexington Books. (paper was originally published in Chinese, translated by Mao Shoulong, in *Journal of Beijing Administrative College*, Vol. 3, 2001 (in two parts).
- Michael D. McGinnis and Elinor Ostrom. 2012. "Reflections on Vincent Ostrom, Public Administration, and Polycentricity," *Public Administration Review* 72:1 (Jan/Feb), 15-25.
- Michael D. McGinnis. 2011. "Networks of Adjacent Action Situations in Polycentric Governance," *Policy Studies Journal* 39 (1) (Feb. 2011), 45-72.
- Michael D. McGinnis. 2011. "An Introduction to IAD and the Language of the Ostrom Workshop: A Simple Guide to a Complex Framework," *Policy Studies Journal* 39 (1) (March 2011), 163-177. Updated version http://php.indiana.edu/~mcginnis/iad_guide.pdf
- Michael D. McGinnis. 2011. "Elinor Ostrom: Politics as Problem-Solving in Polycentric Settings," in Donatella Campus, Gianfranco Pasquino, and Martin Bull, eds., *Maestri of Political Science*, volume 2, Colchester, UK: ECPR Press, pp. 137-158.
- Michael D. McGinnis. 2010. "Religion Policy and the Faith-Based Initiative: Navigating the Shifting Boundaries between Church and State," *Forum on Public Policy*, Vol 2010, No. 4/5. (December 2010).
<http://forumonpublicpolicy.com/Vol2010.no4/archive.vol2010.no4/mcginnis.pdf>
- Michael D. McGinnis and James M. Walker. 2010. "Foundations of the Ostrom Workshop: Institutional Analysis, Polycentricity, and Self-Governance of the Commons," *Public Choice*, 143, Numbers 3-4 (June), 293-301.
- Michael D. McGinnis. 2008. "Legal Pluralism, Polycentricity, and Faith-Based Organizations in Global Governance," in Mark Sproule-Jones, Barbara Allen, and Filippo Sabetti, eds. *The Struggle to Constitute and Sustain Productive Orders*. Lanham, MD: Lexington Books, 2008, pp. 45-64.
- Michael McGinnis and Elinor Ostrom. 2008. "Will Lessons from Small-Scale Social Dilemmas Scale Up?" in *New Issues and Paradigms in Research on Social Dilemmas*, edited by Anders Biel, Daniel Eck, Tommy Gärling, and Mathias Gustafsson. New York: Springer-Verlag, 2008, pp. 189-211.
- Michael D. McGinnis. 2007. "From Self-Reliant Churches to Self-Governing Communities: Comparing the Indigenisation of Christianity and Democracy in Sub-Saharan Africa," *Cambridge Review of International Affairs*, September 2007, 20 (3), 401-416.
- Michael D. McGinnis. 2005. "Beyond Individualism and Spontaneity: Comments on Peter Boettke and Christopher Coyne," *Journal of Economic Behavior and Organization*, June 2005, 57 (2), pp. 167-172.
- Michael D. McGinnis. 2002. "Enhancing Institutional Diversity in a Globalizing World," in *Gobernabilidad: Nuevos Actores, Nuevos Desafíos*, edición, coordinación y compilación: Alberto Ortega Venzor, Carlos Alberton Martínez Castillo, Vanessa Zárate, Memorias 2002 IBERGOP-Mexico, Vol. II, Mexico: Editorial Porrúa, 2002, pp. 639-657.
- Michael D. McGinnis. 2000. "Policy Substitutability in Complex Humanitarian Emergencies: A Model of Individual Choice and International Response," *Journal of Conflict Resolution*, Vol. 44, No. 1, (February 2000), pp. 62-89.
- Michael D. McGinnis. 1999. "Rent-Seeking, Redistribution, and Reform in the Governance of Global Markets," in *Globalization and Governance*, edited by Jeffrey Hart and Aseem Prakash. London and New York: Routledge Press, 1999, 54-76.

- Michael McGinnis and Elinor Ostrom, 1996. "Design Principles for Local and Global Commons," in *The International Political Economy and International Institutions*, Oran Young, ed., Cheltenham, UK: Edward Elgar Publishing, 1996, Vol. II, pp. 464-493. [reprint from *Linking Local and Global Commons*, Robert Keohane, ed., Proceedings of a Conference held at Harvard Center for International Affairs, April 1992, pp. 16-65.]
- Michael D. McGinnis. 1996. "Elinor Ostrom: A Career in Institutional Analysis," *PS: Political Science & Politics*, December 1996, 29 (4), 737-741. [Solicited biography of APSA President]
- Michael D. McGinnis. 1994. "Rational Choice and Foreign Policy Change: The Arms and Alignments of Regional Powers," In Jerel A. Rosati, Joe D. Hagan, and Martin W. Sampson III, eds. *Foreign Policy Restructuring: How Governments Respond to Global Change*, edited by Columbia, SC: University of South Carolina Press, 1994, pp. 65-87.
- John T. Williams, Michael D. McGinnis, and John C. Thomas, 1994. "Breaking the War-Economy Link," *International Interactions*, 1994, 20 (3), 169-188.
- Michael D. McGinnis and John T. Williams, 1993. "Policy Uncertainty and Two-Level Games: Examples of Correlated Equilibria," *International Studies Quarterly*, March 1993, 37:1, 29-54.
- John T. Williams and Michael D. McGinnis, 1992. "The Dimension of Superpower Rivalry: A Dynamic Factor Analysis," *Journal of Conflict Resolution*, March 1992, 36:1, 86-118.
- John T. Williams and Michael D. McGinnis, 1992. "Expectations and the Dynamics of U.S. Defense Budgets: A Critique of Organizational Reaction Models," in *The Political Economy of Military Spending in the United States*, edited by Alex Mintz, pp. 282-304. London and New York: Routledge, 1992.
- Michael McGinnis and Elinor Ostrom. 1992. "Institutional Analysis and Global Climate Change: Design Principles for Robust International Regimes," pp. 45-85 in Marian Rice, Joel Snow, and Harold Jacobson, eds. *Global Climate Change: Social and Economic Research Issues*, Proceedings of a Conference held at Argonne National Laboratory, Chicago, Feb. 1992.
- Michael D. McGinnis. 1992. "Bridging or Broadening the Gap? A Comment on Wagner's 'Rationality and Misperception in Deterrence Theory'," *Journal of Theoretical Politics*, 1992, 4(4), 443-457.
- Michael D. McGinnis. 1991. "Richardson, Rationality, and Restrictive Models of Arms Races," *Journal of Conflict Resolution*, September 1991, 35:3, 443-473.
- Michael D. McGinnis. 1991. "Limits to Cooperation: Iterated Graduated Games and the Arms Race," *International Interactions*, 1991, 16:4, 271-293.
- Michael D. McGinnis. 1990. "A Rational Model of Regional Rivalry," *International Studies Quarterly*, March 1990, 34:1, 111-135.
- Michael D. McGinnis and John T. Williams, 1989. "Change and Stability in Superpower Rivalry," *American Political Science Review*, December 1989, 83:4, 1101-1123.
- John H. Aldrich and Michael D. McGinnis, 1989. "A Model of Party Constraints on Optimal Candidate Positions," *Mathematical and Computer Modelling*, 1989, 12:4/5, 437-450.
- John T. Williams and Michael D. McGinnis, 1988. "Sophisticated Reaction in the U.S.-Soviet Arms Race: Evidence of Rational Expectations," *American Journal of Political Science*, November 1988, 32:4, 968-995
- Michael D. McGinnis. 1986. "Issue Linkage and the Evolution of International Cooperation," *Journal of Conflict Resolution*, March 1986, 30:1, 141-170.

Recent Working Papers and Conference Presentations

- "Shared Stewardship of a Health Commons," contribution to ReThink Health Initiative Team, "Leadership for System Innovation," an all-day mini-course at the 23rd Annual National Forum on Quality Improvement in Health Care, Institute for HealthCare Improvement (IHI), Dec. 4-7, 2011, in Orlando, Florida.
- "Factors Facilitating Sustainability in Collaborative Stewardship of a Health Commons: Combining Lessons from Commons Research, Collective Action Theory, Inter-Organizational Relations, and Health Policy" 139th Annual Meeting and Exposition of the American Public Health Association, November 2, 2011 in Washington, D.C.
- Paul Dragos Aligica and Michael D. McGinnis. 2011. "Political Economy, Polycentricity, and Institutional Analysis," prepared for inclusion in *Palgrave Handbook of Public Policy Processes*, Theories of Public Policy section.
- Michael D. McGinnis and Elinor Ostrom, "SES Framework: Initial Changes and Continuing Challenges," draft to be submitted to *Ecology and Society*. Working Paper W11-6, Workshop in Political Theory and Policy Analysis, Indiana University.
- "Costs and Challenges of Polycentric Governance: An Equilibrium Concept and Examples from U.S. Health Care," Prepared for presentation at Conference on Self-Governance, Polycentricity, and Development, Renmin University of China, Beijing, May 8, 2011. To be published (in Chinese) in conference proceedings.
- "Religion Policy and Faith-Based Organizations: Charting the Shifting Boundaries between Church and State," presented at the 2011 Annual Meeting of ASREC, Association for the Study of Religion, Economics & Culture, Arlington, Virginia, April 7-10, 2011.
- "Comparative Religion Policy: Understanding Patterns of Religious Participation in Public Policy," paper for Oxford Round Table on Religion and Science: Shaping the Modern World, Harris Manchester College, Oxford, England, July 25-30, 2010.
- "Games, Institutional Analysis, and Polycentric Governance: Contextualizing IAD via Networks of Adjacent Action Situations," paper for presentation at American Political Science Association, Washington, D.C.; revised version of paper presented at IAD conference, University of Colorado, Denver, April 9-10, 2010.
- "Comparative Religion Policy: Understanding Patterns of Religious Participation in Policy Networks and the Political Manipulation of Religious Organizations," paper presented at annual meeting of the International Studies Association (ISA), San Francisco, California, March 26-30, 2008.
- "The Political Manipulation of Religion and Its Limits: Modeling How Policy Incentives Change the Priorities of Faith-Based Service Organizations," to be presented at the Annual Meeting of the Association for the Study of Religion, Economics, and Culture (ASREC) and the Society for the Scientific Study of Religion (SSSR), Tampa, Florida, November 2007
- "Narratives of Strategic Interaction Involving Religious Organizations: Proselytism, Humanitarian Aid, and International Conflict," presented at the 48th Annual Conference of the International Studies Association, Chicago, Illinois, Feb. 28-March 3, 2007.
- "Proselytism Games and Humanitarian Aid," presented at (1) the Annual Meeting of the Association for the Study of Religion, Economics, and Culture (ASREC) and the Society for the Scientific Study of Religion (SSSR), Portland, Oregon, October 19-21, 2006 and (2) the 2006 North American Meeting of the Peace Science Society (International), Columbus, Ohio, November 10-12, 2006.

Other Presentations

- Invited participant, Salzburg Global Seminar, *Health and Healthcare Series III, Innovating for Value in Health Care Delivery: Better Cross-Border Learning, Smarter Adaptation and Adoption*, Salzburg, Austria, September 25 through October 1, 2011.
- Invited Participant, “Oxford Round Table on Religion and Science: Shaping the Modern World,” Harris Manchester College, Oxford, England, July 2010.
- Discussant on “Radio Spectrum Governance: The Need for Collective Action” and roundtable participant on “The Future of Infrastructure Self-Governance: Bringing Government Back In?,” 13th Annual International Conference on the Economics of Infrastructures, Delft University of Technology, Delft, Netherlands, 27-28 May 2010.
- “Faith-Based Initiatives in the Bush and Obama Administrations,” IU Mini-University, June 25, 2009
- “International Faith-Based Organizations as Partners, Partisans, Proselytizers, and Exemplars of Self-Governance,” The Joan B. Kroc Institute for International Peace Studies, University of Notre Dame, April 20, 2006.
- “Evaluating Humanitarian Response to Conflict and Famines,” DePauw University, November 14, 2005.
- “Improving Global Response to Regional Conflict: Applying Network Governance to Conflict Policy,” The Joan B. Kroc Institute for International Peace Studies, University of Notre Dame, October 3, 2005

External Grants and Visiting Positions

Principal Investigator, “Managing the Health Commons,” Fannie E. Rippel Foundation, January 2011-June 2012.

Visiting Fellow, The Joan B. Kroc Institute for International Peace Studies, University of Notre Dame, Fall 2005-Spring 2006.

Gastwissenschaftler (Visiting Scholar), Division of Resource Economics, Humboldt University, Berlin, November-December 2004.

Co-Principal Investigator, “Expectational Models of U.S.-Soviet Rivalry,” National Science Foundation, 1988-91.

TEACHING

Undergraduate Courses

- U.S. Health Policy (Y200) [scheduled for Spring 2012]
- Religion, Politics, and Public Policy (Y249)
- Senior Seminar: Global Politics of Christian Evangelism (Y490)
- Institutional Analysis and Governance (Y204)
- Public Policy Analysis: Sustainable Governance in the Ostrom Tradition (Y394)
- Coping with War (interdisciplinary lecture course E104 and freshman seminar S104)
- Democracy and National Security (Y311)
- International Law (Y367)
- Nations, States, and Boundaries (Core course I206 in International Studies Major)
- Organizing for Rebellion and for Peace (Y200)

Graduate Seminars

- Introduction to Theories of Public Policy (core seminar in Joint Ph.D. program in Public Policy, cross-listed as POLS Y565 and SPEA V690)
- Research Design in Political Science (Y580)
- Introduction to Formal Theory (Y563)
- Implementation Challenges of Governance Reform in Developing Countries (with Amos Sawyer, Spring 2008, Y673)
- International Relations: Approaches and Issues (core seminar Y669 in IR)
- Introduction to the Study of Politics (required first-year seminar Y570)

SERVICE

Departmental Service

- Director of Graduate Studies, 1990-1991, 2001-2002, Spring 2008-Spring 2010
- Personnel Committee, 1993-97, Spring 1999, 2000-2002 (Chair 2000-2001), 2007-09
- Chair, Recruitment Committees, Public Law 1997-98, American Public Policy 2006-07, 2007-08
- Member, Chair's Advisory Committee, 2006-10
- Member, Task Force on Graduate Program, 2005-07
- Member, Task Force on Undergraduate Major and the Curriculum, 1997-98
- Data Lab/Computer Policy Committee, 1985-86, 1988-90, 1992-93 (Chair), 1999-2000, 2004-05 (Chair)
- Graduate Advisory and Financial Aid Committee, 1990-91, 1998-2000, 2007-10

University Service

- *Workshop in Political Theory and Policy Analysis*, IU, Bloomington: Director, 2010-12, Co-Director, 2009-2010, 2003-05, Co-Associate Director, 1997-1999, 2000-2003, Chair, Advisory Council 2007-08, Research Associate 1990-present
- International Studies Program, Advisory Board, 2004-2005, 2008-12 (Board President, 2008-09)
- Tenure Advisory Committee, College of Arts and Sciences, 2007-09
- Tenure Advisory Committee (campus level), Dean of Faculties, 1996-99
- Joint Ph.D. Admissions and Financial Aid Committee, Department of Political Science and School for Public and Environmental Affairs, Spring 1990-Spring 1991, 1992-93, 2001-2002, 2006-12
- Individualized Major Program Advisory Committee, 2000-2005

Professional Service

- Co-Editor, *International Studies Quarterly*, 1994-1998
- Member, Editorial Board, *International Interactions*, 1991-1999
- Member, Council, Scientific Study of International Politics Section, International Studies Association, 1999-2001
- Section Chair, International Relations, Midwest Political Science Association, 1993
- Chair, American Political Science Association Merriam Award Committee, 1997
- Member, American Political Science Association, International Studies Association, Midwest Political Science Association, Peace Science Society, Society for the Scientific Study of Religion, Association for the Study of Religion, Economics, and Culture
- Reviewer, *Africa Today*, *American Journal of Political Science*, *American Political Science Review*, *American Politics Quarterly*, *Conflict Management and Peace Science*, *Good Society*, *International Interactions*, *International Organization*, *International Studies Quarterly*, *International Theory*, *Journal of Conflict Resolution*, *Journal of Peace Research*, *Journal of Politics*, *Journal of Theoretical Politics*, *Mathematical and Computer Modelling*, *Nonprofit and Voluntary Sector Quarterly*, *Perspectives on Politics*, *Policy Studies Journal*, *Political Behavior*, *PNAS (Proceedings of the National Academy of Sciences)*, *Public Administration Review*, *Review of Economic Studies*, *Sociologia Ruralis*, National Science Foundation, University of Michigan Press, Cambridge University Press, Princeton University Press, Indiana University Press.

Contact Information:

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References Supplied Upon Request