

# Modeling ionic mobilities by scattering on electronic density isosurfaces: Application to silicon cluster anions

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We have developed a new formalism to evaluate the gas-phase mobility of an ion based on elastic scattering on an electronic density isosurface (SEDI). In this method, the ion is represented by a surface of arbitrary shape defined as a set of points in space where the total electron density assumes a certain value. This value is the only adjustable parameter in the model. Conceptually, this treatment emulates the interaction between a drifting ion and the buffer gas atoms closer than the previously described methods, the exact hard spheres scattering (EHSS) model and trajectory calculations, where the scattering occurs in potentials centered on the nuclei. We have employed EHSS, trajectory calculations, and SEDI to compute the room temperature mobilities for low-energy isomers of  $\text{Si}_n$  ( $n \leq 20$ ) cations and anions optimized by density functional theory (DFT) in the local density approximation and generalized gradient approximation. The results produced by SEDI are in excellent agreement with the measurements for both charge states, while other methods can fit the mobilities for cations only. Using SEDI, we have confirmed the structural differences between  $\text{Si}_n^+$  and  $\text{Si}_n^-$  predicted by DFT calculations, including the major rearrangements for  $n=9, 15, 16,$  and  $18$ . We have also assigned the multiple isomers observed in recent high-resolution mobility measurements for  $\text{Si}_n^+$  with  $n=17-19$ , some of them to near-spherical cage-like geometries.  
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## I. INTRODUCTION

Mobility measurements have been established over the last decade as a versatile and powerful tool for the structural characterization of gas-phase ions. This approach has been of particular value in elucidating the structure of atomic clusters because spectroscopic methods generally fail to resolve specific features for all but the smallest species.<sup>1</sup> Mobility measurements determine the velocity with which an object drifts through a buffer gas under the influence of an external electric field. Mobilities can be measured for either positively or negatively charged species (but not for the neutrals). Most investigations to date have been carried out on cations. For example, the extensive research on carbon clusters that revealed structural transitions from chains to monocyclic and polycyclic rings to fullerenes,<sup>2-4</sup> and demonstrated the isomerization of carbon rings into fullerenes<sup>5-7</sup> was performed mainly on cations. Carbon clusters doped by various elements (hydrogen,<sup>8</sup> chlorine,<sup>9</sup> silicon,<sup>10</sup> metals<sup>11-14</sup>) have been investigated for cations only. Similarly, the detailed work on aluminum<sup>15,16</sup> and the Group 4 elements (silicon,<sup>17-20</sup> germanium,<sup>21,22</sup> and tin<sup>23</sup>) species was done on cations. More recently, mobility measurements have been

used to determine the gas-phase conformations of large bio-organic<sup>24-26</sup> and biological<sup>27-29</sup> molecules. Again, this research has been done mainly on the positively charged ions.

The assignment of features observed in mobility measurements is accomplished by comparison with collision integrals calculated for a number of plausible candidate geometries. Since most of the experimental data available on the mobilities of polyatomic ions are for cations, the computational methods developed to model the mobilities have really only been validated for cations. In order of increasing sophistication (and computational expense), those methods are (i) the projection approximation that equates the collision integral to the orientationally averaged projection,<sup>3,30</sup> (ii) the exact hard spheres scattering<sup>31</sup> (EHSS) model that replaces the collision integral by the orientationally averaged momentum-transfer cross section of a collection of hard spheres, and (iii) trajectory calculations<sup>32,23</sup> where the trajectories of buffer gas atoms are propagated in a realistic molecular potential of the target ion using the methods of molecular dynamics. While the projection approximation produces large errors in many cases,<sup>20,32-35</sup> the EHSS and trajectory calculations have been successful in accurately predicting the mobilities of both homoatomic<sup>19,20,22,32-37</sup> ( $\text{C}_n^+, \text{Si}_n^+, \text{Ge}_n^+$ ) and heteroatomic<sup>9,14,38-40</sup>

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( $\text{Na}_n\text{Cl}_{n+1}^-$ ,  $\text{C}_n\text{Si}^+$ ,  $\text{C}_{60}\text{Nb}_m^+$ ) clusters, as well as well as various bio-organic molecules.<sup>24,25</sup>

Mobility measurements<sup>33,41</sup> have shown that the size ranges of certain structural families are different for  $\text{C}_n^-$  and  $\text{C}_n^+$ . Anions also exhibit geometries not observed for cations, such as monocyclic rings with side chains attached (“tadpoles”).<sup>42</sup> For the fullerenes and their dimers, the mobilities of cations and anions with the same geometries are nearly identical.<sup>33–35</sup> Although the cross sections for anions are slightly larger, the difference is nearly constant and so small (<1%) that in the analysis of data it could be treated simply as a systematic empirical correction.<sup>35</sup> This is hardly surprising considering that these clusters have ~50–150 atoms. In free-electron metal clusters, the tail of the electron density extends beyond the “edge” of the cluster.<sup>43</sup> The electron density spills out further for anions than for cations. Physically, the buffer gas atoms scatter on the electron cloud of the drifting ion, so the cross sections of anions should be larger than for cations. The mobilities measured<sup>44</sup> for  $\text{In}_n^-$  are significantly smaller than those for  $\text{In}_n^+$ . The average difference between the mobilities of  $\text{In}_n^+$  and  $\text{In}_n^-$  has been quantitatively reproduced<sup>44</sup> by the jellium model (in the standard spherical-background SBJM implementation). However, the specific size-to-size differences could not be explained by this model. These are presumably due to the differences in shapes of the cations and anions that are ignored by the SBJM. These differences could possibly be captured by more advanced jellium treatments,<sup>45,46</sup> allowing for the triaxial deformations of electron cloud. However, the collision integrals for resulting irregular bodies could not be computed by any of the methods available from the literature. Recent high-resolution measurements<sup>47</sup> have revealed differences between the mobilities of  $\text{Si}_n$  cations and anions that are both substantial in absolute terms and highly size dependent. Silicon clusters cannot be adequately described by a jellium model.

Summarizing, the modeling of the gas-phase mobilities of anions has not been explored. Specifically, the assumption that exact hard spheres scattering and trajectory calculations will work for anions as well as for cations has not been verified. The spherical jellium-based approach<sup>44</sup> cannot extract any specific structural information even for the free-electron metal clusters. Hence, there is a need to carefully test the existing methods of mobility calculations on anions and, should they prove inadequate, to devise new approaches. This issue is the subject of present contribution with  $\text{Si}_n$  anions as the test case. The main reasons for choosing this system are (i) the availability of high-resolution data for cations and anions under identical conditions across a wide range of cluster sizes,<sup>47</sup> (ii) the challenge of modeling the strongly size-dependent differences between mobilities of the two charge states, and (iii) the fact that the geometries of Si clusters are well-defined at room temperature and can be found by an unbiased global search.<sup>19,20</sup> Besides, there exists a great deal of interest in the growth pattern of silicon clusters (see Ref. 20 for a review). We have previously optimized the geometries for  $\text{Si}_n$  neutrals<sup>19</sup> and cations<sup>20</sup> ( $n \leq 20$ ). The resulting prolate structures resemble stacks of  $\text{Si}_n$  tricapped trigonal prisms (TTP). They have been confirmed

by comparison with experimental data on mobilities,<sup>19,20</sup> dissociation energies,<sup>48</sup> and fragmentation pathways<sup>48</sup> for cations, and ionization potentials for the neutrals.<sup>20</sup> The structural elucidation of medium-sized  $\text{Si}_n$  anions will contribute to our understanding of the unique growth habit of silicon clusters.

## II. STRUCTURAL OPTIMIZATION OF CHARGED $\text{Si}_n$ CLUSTERS

### A. Computational methods

To find the global minima of  $\text{Si}_n$  neutrals with up to 20 atoms,<sup>19</sup> we used a genetic algorithm and simulated annealing with a new tight-binding potential<sup>49</sup> for energy evaluations. Promising candidates were reoptimized using density functional theory (DFT) with the Vosko–Wilk–Nusair (VWN) local density approximation<sup>19,20</sup> (LDA) functional for exchange and correlation, and with the gradient-corrected functionals<sup>20</sup> Perdew–Wang–Becke 88 (PWB) and Becke88–Lee–Yang–Parr (BLYP). Of these three methods, PWB by far provided the best agreement with the measurements of cohesive energies, ionization potentials, and bond lengths.<sup>20</sup> Simulated annealing had repeatedly failed<sup>19,20,22</sup> to locate the true lowest-energy geometries for  $n > 12$ ; hence, for larger sizes the use of a genetic algorithm is critical. Unfortunately, no tight-binding potential analogous to that for the neutrals<sup>49</sup> currently exists for charged clusters. The direct coupling of a genetic algorithm and DFT is computationally prohibitive. So, we have relaxed a number of low-energy neutral isomers of each  $\text{Si}_n$  ( $n \leq 20$ ) for anions without any symmetry constraints. Additionally, we have carried out the simulated annealing for  $n < 12$  using the Car–Parrinello LDA technique.<sup>50</sup> This combined strategy had been successful<sup>20</sup> in finding the structures for  $\text{Si}_n^+$  in the same size range (as well as medium-size  $\text{Ge}_n$  neutrals and cations<sup>22</sup>) that are in agreement with all available experimental data. In addition, here we have performed simulated annealing for  $n > 12$  starting from the geometries of low-energy neutrals. This procedure is designed to explore the local basins around stable configurations for any distortions that might lower the energy. All calculations have employed the double numeric basis set with polarization functions as implemented in the all-electron localized-basis DMOL code.<sup>51</sup> Spin-polarization terms were included.

### B. Correction of cations

Before proceeding to the results for anions, we have to make a correction to our published findings<sup>20</sup> on the structures of  $\text{Si}_n$  cations. Regrettably, the symmetry of global minima of  $\text{Si}_n$  neutrals with  $n = 5, 7$ , and 10 had been inadvertently constrained when annealing these geometries for cations. This prevented Jahn–Teller distortion to lower symmetries (in either LDA or PWB):  $C_{2v}$  for  $\text{Si}_5^+$  and  $\text{Si}_7^+$  vs  $D_{3h}$  for  $\text{Si}_5^+$  and  $D_{5h}$  for  $\text{Si}_7^+$ , and  $C_s$  for  $\text{Si}_{10}^+$  vs  $C_{3v}$  for  $\text{Si}_{10}^+$ . Kishi *et al.*<sup>52</sup> and Grein and co-workers<sup>53</sup> have recently found the same distortions for  $\text{Si}_5^+$  and  $\text{Si}_7^+$  using *ab initio* and density functional methods. So, the global minima for these sizes are actually deeper than those given in Ref. 20 by 0.02–0.04 eV/atom. The refined energies are listed in Table I herein,

TABLE I. Cohesive energies (with respect to the spin-polarized isolated neutral atoms) of the low-energy geometries of  $\text{Si}_n$  ( $n \leq 20$ ) cations, neutrals, and anions, and mobilities for cations and anions. Global minima (both LDA and PWB) for each charge state are in bold (the geometries lying within 3 meV/atom of each other are treated as degenerate). Measured mobilities are the high-resolution data (Ref. 47) at 295 K. All calculated values are by the SEDI model.

$n$	Point group	Cohesive energy, eV						Inverse mobilities, Vs/m <sup>2</sup>			
		Cations		Neutrals <sup>a</sup>		Anions		Cations		Anions	
		LDA	PWB	LDA	PWB	LDA	PWB	Calc.	Exp. <sup>b,c</sup>	Calc.	Exp.
2		<b>-2.003</b>	<b>-2.176</b>	<b>1.968</b>	<b>1.755</b>	<b>3.028</b>	<b>2.676</b>				
3	$C_{2v}$	<b>0.203</b>	<b>-0.165</b>	<b>2.929</b>	<b>2.537</b>	<b>3.688</b>	<b>3.267</b>			<b>915</b>	920
4	$D_{2h}$	<b>1.541</b>	<b>1.106</b>	<b>3.509</b>	<b>3.042</b>	<b>4.048</b>	<b>3.565</b>	<b>930</b>	915	<b>1040</b>	1040
5	$D_{3h}$	2.141	1.642	<b>3.791</b>	<b>3.266</b>	<b>4.272</b>	<b>3.733</b>	1020	1005	<b>1115</b>	1115
	$C_{2v}$ (I)	2.157	1.665			$\Rightarrow D_{3h}$		1020		...	
	$C_{2v}$ (II)	<b>2.160</b>	<b>1.669</b>					<b>1020</b>			
6	$D_{4h}$	2.692	2.145	<b>4.001</b>	<b>3.439</b>	4.346	3.778	1105	1105	1210	1215
	$C_{2v}$ (I) <sup>d</sup>	<b>2.724</b>	<b>2.187</b>	<b>4.001</b>	<b>3.438</b>	$\Rightarrow D_{4h}$		<b>1110</b>		...	
	$C_{2v}$ (II)		$\Rightarrow D_{4h}$			<b>4.362</b>	<b>3.789</b>	...		<b>1210</b>	
7	$D_{5h}$	2.988	2.410	<b>4.147</b>	<b>3.555</b>	<b>4.418</b>	<b>3.828</b>	1190	1195	<b>1295</b>	1295
	$C_{2v}$ (I)	3.000	2.433			$\Rightarrow D_{5h}$		1195		...	
	$C_{2v}$ (II)	<b>3.019</b>	<b>2.452</b>					<b>1195</b>			
8	$C_{2h}$	3.179	<b>2.596</b>	<b>4.090</b>	<b>3.491</b>	4.377	3.752	<b>1300</b>	1315	1390	1400
	$C_1/C_s$ <sup>e</sup>	<b>3.185</b>	<b>2.596</b>	4.016	3.422	4.358	3.736	<b>1290</b>		1385	
	$C_{3v}$	3.033	2.458	4.001	3.407	<b>4.394</b>	<b>3.789</b>	1325		<b>1395</b>	
	$C_{2v}$	3.094	2.517	4.041	3.445	<b>4.396</b>	<b>3.791</b>	1310		<b>1395</b>	
9	$C_{2v}$ (I)	<b>3.354</b>	<b>2.753</b>	<b>4.202</b>	<b>3.580</b>	4.398	3.772	<b>1375</b>	1380	1465	1440
	$C_1$		$\Rightarrow C_{2v}$ (I)			4.440	3.812	...		1465	
	$C_{2v}$ (II)	3.332	2.727	4.145	3.527	4.371	3.739	1365		1460	
	$C_s$ (I) <sup>f</sup>	3.284	2.690	4.081	3.466	4.400	3.760	1405		1465	
	$D_{3h}$	3.224	2.627	4.041	3.415	4.439	3.804	1350		1435	
	$C_s$ (II)		$\Rightarrow C_{2v}$ (II)			<b>4.449</b>	<b>3.819</b>	...		<b>1440</b>	
10	$C_{3v}$	3.527	2.900	<b>4.329</b>	<b>3.682</b>	<b>4.553</b>	<b>3.897</b>	1425	1415	<b>1510</b>	1520
	$C_s$	<b>3.550</b>	<b>2.924</b>			$\Rightarrow C_{3v}$		<b>1415</b>		...	
	$T_d$	3.494	2.874	4.260	3.626	4.431	3.781	1455		1550	
	$C_{4v}$	3.473	2.842	4.244	3.595	4.541	3.874	1410		1505	
11	$C_{2v}$ (I) <sup>g</sup>	3.627	2.985	<b>4.270</b>	<b>3.618</b>	4.433	3.777	1520	1505	1620	1615
	$C_s$ (I)	<b>3.667</b>	<b>3.029</b>	<b>4.269</b>	<b>3.620</b>	4.461	3.803	<b>1515</b>		1610	
	$C_s$ (II)	3.622	2.973	4.247	3.593	<b>4.477</b>	3.816	1510		<b>1600</b>	
	$C_{2v}$ (II)	3.627	2.986	4.219	3.577	<b>4.480</b>	<b>3.821</b>	1505		<b>1595</b>	
12	$C_{2v}$	3.684	3.034	<b>4.303</b>	<b>3.648</b>	<b>4.499</b>	<b>3.826</b>	1615	1600	<b>1695</b>	1700
	$C_s$	<b>3.694</b>	<b>3.040</b>	4.251	3.593	4.483	3.821	<b>1595</b>		1700	
13	$C_s$	3.746	3.093	<b>4.303</b>	<b>3.634</b>	<b>4.538</b>	<b>3.860</b>	1670	1680	<b>1760</b>	1775
	$C_{3v}$	3.734	3.082	4.285	3.624	4.456	3.792	1670		1750	
	$C_{2v}$	<b>3.751</b>	<b>3.102</b>	4.278	3.616	4.448	3.782	<b>1675</b>		1775	
14	$C_s$ (I) <sup>h</sup>	<b>3.842</b>	<b>3.181</b>	<b>4.348</b>	<b>3.677</b>	4.505	3.830	<b>1745</b>	1755	1845	1850
	$C_s$ (II)	3.769	3.114	4.289	3.621	<b>4.534</b>	<b>3.860</b>	1770		<b>1855</b>	
15	$C_{3v}$	<b>3.891</b>	<b>3.225</b>	<b>4.378</b>	<b>3.701</b>	4.515	3.837	<b>1845</b>	1840	1945	1915
	$C_s$ (III)		$\Rightarrow C_{3v}$			<b>4.538</b>	<b>3.862</b>	...		<b>1945</b>	
	$D_{3h}$	3.878	3.197	<b>4.377</b>	3.688	4.489	3.789	1760		1850	
	$C_s$ (I) <sup>i</sup>	3.878	3.203	4.367	3.684	<b>4.536</b>	3.848	1795		<b>1895</b>	
	$C_s$ (II) <sup>i</sup>	<b>3.894</b>	3.219	4.366	3.685	<b>4.539</b>	3.851	<b>1795</b>		<b>1895</b>	
16	$C_{2h}$ (I)	3.909	3.236	<b>4.339</b>	3.659	4.482	3.800	1925	1930	2035	2010
	$C_{2h}$ (II)	<b>3.925</b>	<b>3.265</b>	<b>4.338</b>	<b>3.672</b>	4.508	3.837	<b>1950</b>		2055	
	$C_s$	3.906	3.240	<b>4.336</b>	3.661	<b>4.544</b>	<b>3.865</b>	1925		<b>2035</b>	
	$C_{3v}$	3.917	3.232	4.334	3.642	4.468	3.772	1840		1935	

TABLE I. (Continued.)

<i>n</i>	Point group	Cohesive energy, eV						Inverse mobilities, V <sub>s</sub> /m <sup>2</sup>			
		Cations		Neutrals <sup>a</sup>		Anions		Cations		Anions	
		LDA	PWB	LDA	PWB	LDA	PWB	Calc.	Exp. <sup>b,c</sup>	Calc	Exp.
17	<i>C</i> <sub>3v</sub>	<b>3.970</b>	<b>3.298</b>	<b>4.383</b>	<b>3.703</b>	4.533	3.850	<b>2010</b>	1985(1)	2130	2105
	<i>C</i> <sub>s</sub> (I)	3.957	3.287	4.359	3.681	<b>4.548</b>	<b>3.869</b>	2015	2050(2)	<b>2120</b>	
	<i>C</i> <sub>2</sub>	<b>3.971</b>	3.291	4.364	3.675	4.521	3.825	<b>1925</b>	1935(3)	2010	
	<i>C</i> <sub>s</sub> (II)	3.955	3.281	4.343	3.664	4.475	3.794	2020		2135	
18	<i>C</i> <sub>3v</sub>	<b>4.013</b>	<b>3.340</b>	<b>4.401</b>	<b>3.720</b>	4.513	3.830	<b>2170</b>	2115(1)	2270	2155
	<i>D</i> <sub>3h</sub>	4.006	3.329	4.389	3.704	<b>4.573</b>	<b>3.881</b>	2095	2155(2)	<b>2185</b>	
19	<i>C</i> <sub>2v</sub> <sup>j</sup>	<b>4.058</b>	<b>3.369</b>	<b>4.412</b>	<b>3.715</b>	<b>4.561</b>	<b>3.860</b>	<b>2070</b>	2200(1)	<b>2175</b>	2265
	<i>C</i> <sub>s</sub>	4.037	3.357	4.396	3.709	4.545	3.854	2195	2165(2) 2075(3)	2300	
20	<i>C</i> <sub>s</sub>	<b>4.081</b>	<b>3.388</b>	<b>4.416</b>	<b>3.714</b>	4.554	3.848	<b>2145</b>	2245	2245	2330
	<i>C</i> <sub>2</sub>	4.061	3.381	4.399	<b>3.712</b>	<b>4.559</b>	<b>3.866</b>	2295		<b>2395</b>	

<sup>a</sup>The LDA energies of neutrals in the table differ from those listed in Ref. 19 by a small constant shift due to our use of a different local density functional code.

<sup>b</sup>The experimental mobilities listed here for certain cations deviate slightly from those reported previously (Ref. 20). The present values result from the high-resolution measurements (Ref. 47) and thus are deemed more accurate than those obtained using the injected drift tube technique (Ref. 20).

<sup>c</sup>For sizes where multiple isomers have been resolved (Ref. 47) (cations with  $n = 17-19$ ), the ordering of their abundances is given in parentheses (from 1 to 3).

<sup>d</sup>Same as *C*<sub>2v</sub> in Ref. 20.

<sup>e</sup>This isomer (capped pentagonal bipyramid) has a *C*<sub>s</sub> symmetry for cation and *C*<sub>1</sub> for the neutral.

<sup>f</sup>Distorted tricapped octahedron, identical to *C*<sub>s</sub> in Refs. 19 and 20.

<sup>g</sup>Identical to *C*<sub>2v</sub> in Refs. 19, 20, and 22.

<sup>h</sup>Same as *C*<sub>s</sub> in Refs. 19 and 20.

<sup>i</sup>*C*<sub>s</sub> (II) is identical to the isomer labeled in Ref. 20 as *C*<sub>1</sub>, and *C*<sub>s</sub> (I) is a minor distortion of that structure.

<sup>j</sup>Same as the near-spherical geometry denoted in Ref. 19 as *C*<sub>s</sub>.

and the revised geometries are depicted in Fig. 1. These very minor relaxations do not noticeably influence the calculated mobilities, so the comparison of theoretical values with the measurements in Table I of Ref. 20 remains unaffected. The corrections do not alter the computed adiabatic ionization potentials,<sup>20</sup> because the lowest-energy isomers for *Si*<sub>5</sub><sup>+</sup>, *Si*<sub>7</sub><sup>+</sup>, and *Si*<sub>10</sub><sup>+</sup> are *separate* local minima from those retaining the higher symmetries of respective neutrals. (This is why the relaxation of the neutral geometries for the cations<sup>20</sup> did not yield the global minima.) However, the calculated dissociation energies of the cations and their fragmentation patterns<sup>48</sup> are affected by the small increase in the cohesive energies of *Si*<sub>5</sub><sup>+</sup>, *Si*<sub>7</sub><sup>+</sup>, and *Si*<sub>10</sub><sup>+</sup>. Specifically, the agreement with experiment is improved.<sup>54</sup>

### C. Structures of *Si*<sub>*n*</sub> anions

The results of structural optimization for *Si*<sub>*n*</sub> in all three charge states using LDA and PWB are summarized in Table I. The lowest-energy geometries of *Si*<sub>*n*</sub><sup>+</sup>, *Si*<sub>*n*</sub>, and *Si*<sub>*n*</sub><sup>-</sup> for all  $n \leq 20$  are depicted in Fig. 1. A remarkable consistency between the local density and gradient-corrected functionals in the energetic ordering of the isomers for all sizes that we had previously noted<sup>20</sup> for neutrals and cations also extends to anions. However, for all charge states in the  $n = 15-20$  range, gradient corrections favor the more elongated geometries (those with larger cross sections) by 0.01–0.02 eV/atom. This slight shift has also been observed in other

studies.<sup>55</sup> PWB bond lengths are uniformly longer than the LDA ones, by  $\sim 1.5\%$  regardless of cluster charge.

Small ( $n \leq 10$ ) *Si*<sub>*n*</sub> anions have been optimized in LDA by Chelikowsky and collaborators<sup>56–58</sup> and using *ab initio* methods by Raghavachari and Rohlfing<sup>59–61</sup> and by Kaya and co-workers.<sup>52,62,63</sup> Over the size range of comparison, our conclusions agree with those results. That is, the global minima are identical to those of the neutrals for  $n = 3$  (*C*<sub>2v</sub> triangle),  $n = 4$  (*D*<sub>2h</sub> rhombus),  $n = 5$  and 7 (*D*<sub>3h</sub> trigonal and *D*<sub>5h</sub> pentagonal bipyramids, respectively), and  $n = 10$  (*C*<sub>3v</sub> tetracapped trigonal prism). The ground state for *Si*<sub>6</sub><sup>-</sup> is the *C*<sub>2v</sub> (II) bicapped tetrahedron. All the geometries above are in agreement<sup>56,57</sup> with the measured photoelectron spectra to the extent one can judge on the basis of electronic band profiles.<sup>64</sup> The structures for  $n = 3-5$  and 7 have also been confirmed more specifically by vibrationally resolved spectra.<sup>65–68</sup> The accepted *ab initio* geometry for *Si*<sub>8</sub><sup>-</sup> is the *C*<sub>3v</sub> tetracapped tetrahedron<sup>59</sup> that is lower in energy than the *C*<sub>2h</sub> distorted bicapped octahedron (ground state of the neutral) by 0.35 eV (0.30 eV in PWB). In DFT calculations (either LDA or PWB), a tiny distortion of the *C*<sub>3v</sub> morphology to *C*<sub>2v</sub> point group slightly lowers the energy. The global minimum for *Si*<sub>9</sub> anion is the *C*<sub>s</sub> (II) distorted TTP<sup>59</sup> that lies below the *D*<sub>3h</sub> TTP by 0.10–0.15 eV in DFT (0.13 eV in *ab initio*). This morphology is totally different from the *C*<sub>2v</sub> (I) capped Bernal's structure of the neutral.<sup>19,20,69,70</sup> For larger ( $10 < n \leq 18$ ) *Si*<sub>*n*</sub><sup>-</sup>, we find the neutral ground states<sup>19,20</sup> to be preserved for  $n = 12$  and 13 (respectively,

$C_{2v}$  and  $C_s$ ). The lowest-energy geometries for  $\text{Si}_{15}^-$  (in PWB) and  $\text{Si}_{17}^-$  are only slightly distorted to the  $C_s$  symmetry from the  $C_{3v}$  global minima for the neutrals, although the latter exist as separate local minima. In LDA, this  $\text{Si}_{15}^- C_s$  (III) morphology is essentially isoenergetic with the  $C_s$  (I)/ $C_s$  (II) double-well minimum that is lowest in energy for the  $\text{Ge}_{15}$  neutral and cation.<sup>22</sup> The lowest-energy geometry for  $\text{Si}_{11}$  anion,  $C_{2v}$  (II), differs from that of either neutral [ $C_{2v}$  (I)<sup>71</sup> or  $C_s$  (I)] or cation [ $C_s$  (I)]. The  $C_s$  (II) global minimum for  $\text{Si}_{14}$  anion is also quite different from the  $C_s$  (I) geometry of neutral and cation. For  $n=16$  and 18, the second-lowest energy isomers for the neutral and cation ( $C_s$  and  $D_{3h}$ ) become the global minima for anion. The geometries of the anions are the same as for the cations<sup>20</sup> (except for the Jahn–Teller distortions) for  $n=3-5, 7, 10$ , and 17. For  $n=6, 8$ , and 11, the structures for  $\text{Si}_n^+$ ,  $\text{Si}_n$ , and  $\text{Si}_n^-$  are all different. Except for  $n=15$  mentioned above, LDA and PWB yield the same  $\text{Si}_n^-$  ( $n \leq 18$ ) global minima.

Previous calculations<sup>19,20</sup> for  $\text{Si}_n$  and  $\text{Si}_n^+$  have pinpointed a structural transition from prolate TTP stacks to

near-spherical cage-like clusters starting at  $n=19$ . On the basis of data for  $n=17, 19$ , and 20, the “prolate” family becomes more favored on going from cations to neutrals to anions. In fact, the “prolate” geometry is clearly the global minimum for  $\text{Si}_{20}^-$ . To understand this trend, one has to recall that the conversion to “spherical” structures is driven by the energy gain obtained by tying up the dangling bonds on  $\text{Si}_9$  TTP subunits. The addition of electrons satisfies some of these bonds, thus benefitting the stacked TTP geometries. The rearrangement from  $\text{Si}_9^+ C_{2v}$  (I) to  $\text{Si}_9^- C_s$  (II) is apparently driven by the same mechanism.

### III. MOBILITIES OF $\text{Si}_n$ IONS

#### A. Mobilities determined by trajectory calculations and EHSS model

Trajectory calculations<sup>32,33</sup> have been quite successful in computing the mobilities for  $\text{Si}_n$  cations.<sup>20</sup> The effective potential employed in these calculations is constructed as a sum of pairwise Lennard-Jones interactions of He with each clus-

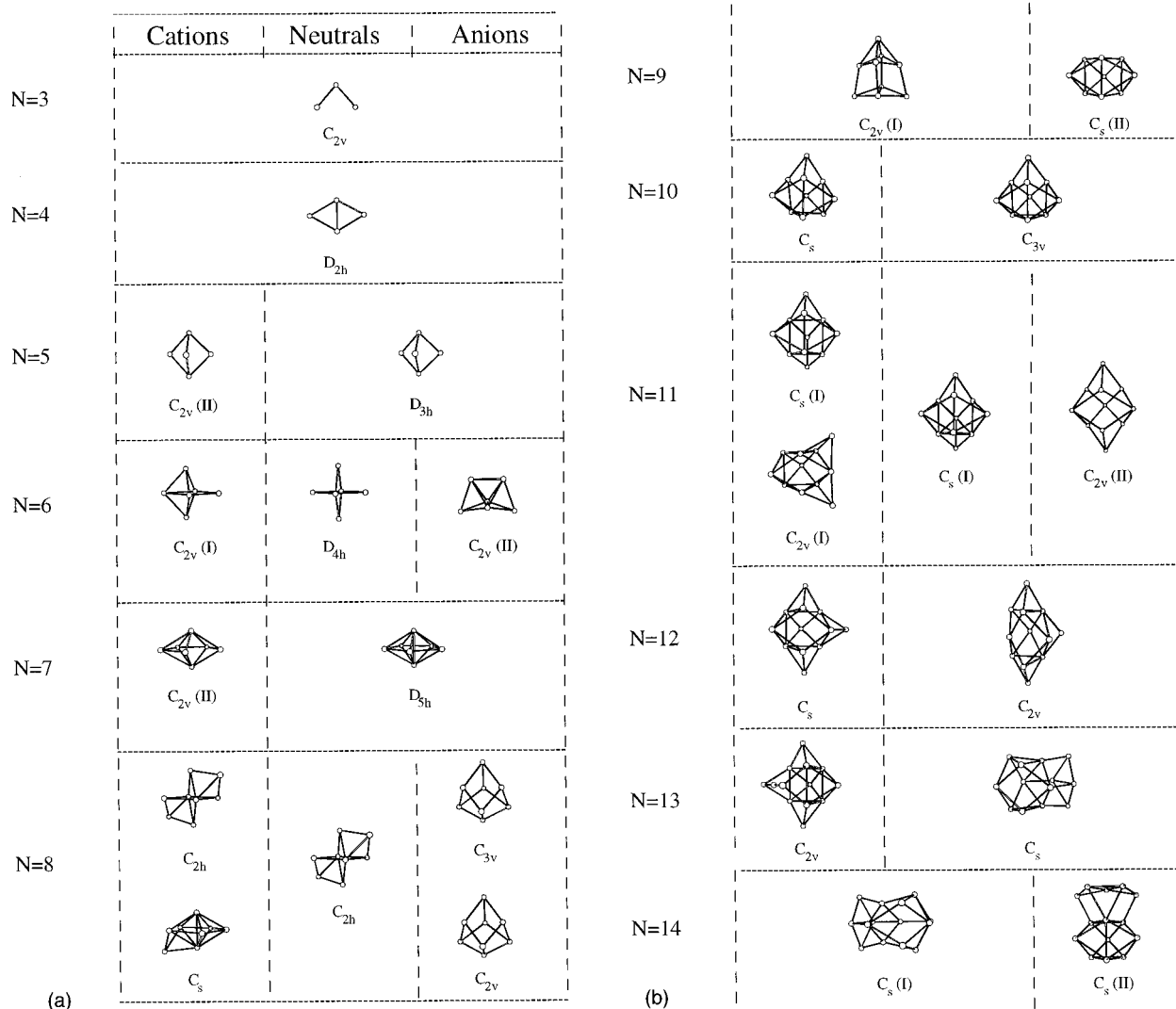


FIG. 1. PWB global minima for the  $\text{Si}_n$  cations, neutrals, and anions ( $n=3-18$ ). For  $\text{Si}_{15}^-$ , the  $C_s$  (I)/ $C_s$  (II) minimum (lowest energy in LDA) that is in agreement with the mobility measurement is added. For  $n=19$  and 20, the lowest-energy prolate “stacked TTP” structures that we found are shown. Multiple entries mean that the geometries are degenerate within the computational accuracy ( $\sim 3$  meV/atom).

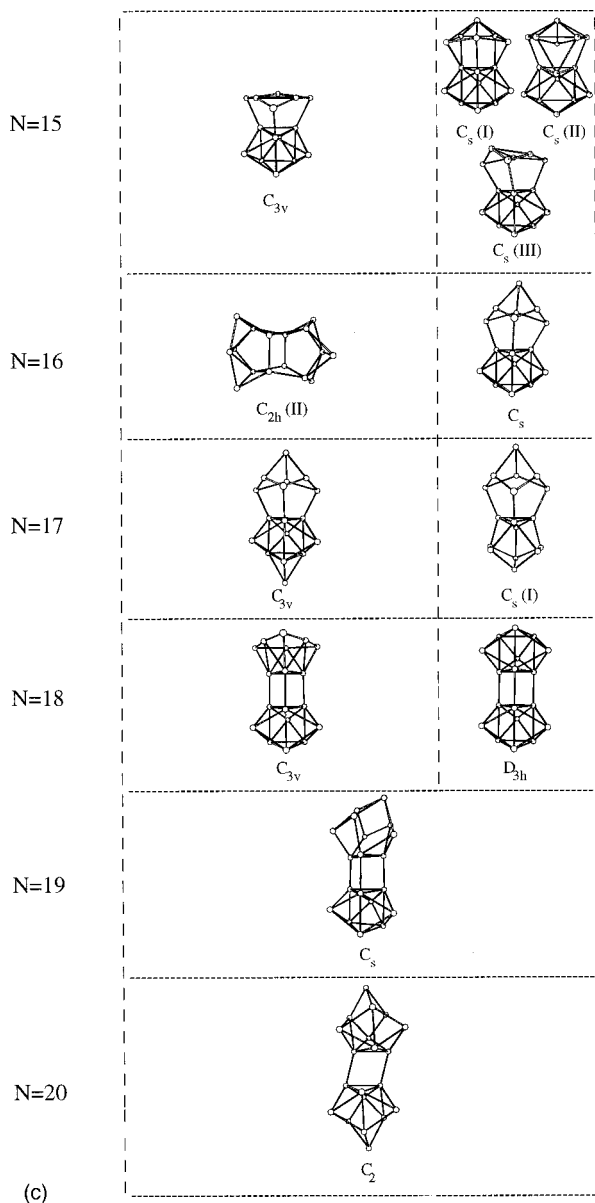


FIG. 1. (Continued.)

ter atom plus a charge-induced dipole term. Recently, we determined the collision integrals of  $\text{Si}_n^+$  with He at room temperature using the simpler exact hard spheres scattering model.<sup>31</sup> This treatment is equivalent to a pair-wise hard-sphere potential with no attractive long-range interaction. The Si–He collision radius for EHSS was fit<sup>72</sup> to produce the mobility of  $\text{Si}_7^+$  measured<sup>47</sup> at 295 K yielding the value of  $R_{\text{cation}} = 2.92 \text{ \AA}$ . Surprisingly, the mobilities calculated by two methods for all  $\text{Si}_n^+$  isomers in the  $n = 4\text{--}26$  size range that we considered agree to within 1%, see for example Fig. 2(a).<sup>73</sup> So, the mobilities for lowest-energy  $\text{Si}_n^+$  ( $n = 4\text{--}17$ ) isomers resulting from both trajectory calculations<sup>20</sup> and the EHSS are within the customary 2% error margin<sup>3,11</sup> of the room temperature measurements.<sup>74</sup> This had not been expected because EHSS is grossly inadequate in modeling the mobilities for carbon clusters (producing errors of over 20% for linear chains), and the trajectory calculations do much better.<sup>33</sup> Of course, the structures of  $\text{Si}_n$  are less diverse than

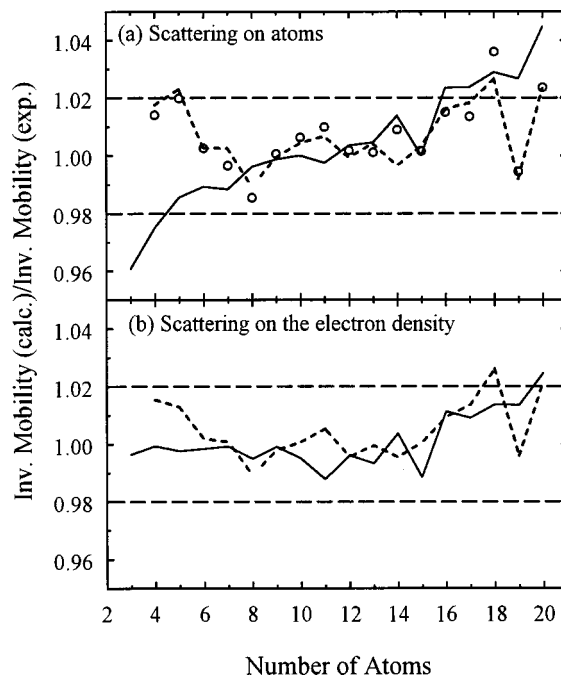


FIG. 2. Inverse mobilities calculated for the lowest-energy [PWB except  $C_s(I)/C_s(II)$  geometry for  $\text{Si}_{15}^-$ ]  $\text{Si}_n$  cations (dotted line) and anions (solid line) in Fig. 1 relative to the high-resolution measurements (for the dominant isomers) (Ref. 47). The  $C_{2h}$  isomer is chosen for  $\text{Si}_8^+$ . Lines in graph (a) result from the exact hard spheres scattering (EHSS) model (Ref. 31) and those in (b) from the scattering on electron density isosurfaces (SEDI). Circles in (a) are by the trajectory calculations (Ref. 20) but the values for some sizes deviate slightly from those given in Ref. 20 because of the more accurate experimental data now available (Ref. 47). Dashed lines delimit the customary 2% error margin (Refs. 3, 11).

those of  $C_n$ , which renders the interaction potential encountered by a buffer gas atom during a collision to be more consistent across all geometries considered. Also, the range of sizes that we have studied for  $\text{Si}_n$  is narrower. These two circumstances, however, cannot explain the difference in the performance of EHSS for carbon and silicon species. The key factor apparently is the typical interatomic distance in a cluster. The average Si–Si bond length in  $\text{Si}_n$  is  $L \sim 2.5 \text{ \AA}$ , while the C–C bond length in  $C_n$  is  $L \sim 1.3\text{--}1.4 \text{ \AA}$ . Hence, a buffer gas atom can interact with more atoms simultaneously in a carbon cluster than in a silicon cluster. For this reason, the cumulative long-range  $C_n\text{--He}$  potential deepens substantially with increasing  $n$  and is strongly dependent on the cluster shape. Conversely, He can interact strongly with only a few neighboring Si atoms at a time; thus, the  $\text{Si}_n\text{--He}$  potential is only weakly affected by the size and shape of cluster as a whole. Thus, the Si–He collision radius, that incorporates the local environment, is transferable across all Si cluster geometries.

If the above hypothesis is correct, the EHSS model should be accurate for all ions with interatomic distances at least as large as those in  $\text{Si}_n$ . Indeed, good agreement between the results of trajectory calculations and EHSS (at room temperature) is obtained for Ge ( $L \sim 2.7 \text{ \AA}$ ) and Sn ( $L \sim 3 \text{ \AA}$ ) cluster cations.<sup>75</sup> Similarly, the EHSS model correctly predicts the mobilities for  $\text{Na}_n\text{Cl}_{n+1}$  ( $L \sim 2.8 \text{ \AA}$ ).<sup>38–40</sup> So, we have attempted to use this model in the analysis of

the mobilities for  $\text{Si}_n^-$ . The cross sections of  $\text{Si}_n^-$  systematically exceed those of  $\text{Si}_n^+$ ,<sup>47</sup> thus a larger Si–He collision radius is needed to match experiment. Fitting the room temperature mobility measured<sup>47</sup> for  $\text{Si}_{10}^-$  (a size in the middle of  $n = 3–18$  range) yields  $R_{\text{anion}} = 3.09 \text{ \AA}$ . We have reevaluated the collision integrals for all  $\text{Si}_n^-$  geometries presented in Table I assuming this value for  $R_{\text{anion}}$ . The mobilities for the global minima of the anions are compared with experiment<sup>47</sup> in Fig. 2(a). It is clear from the figure that the calculated inverse mobility (a quantity proportional to the cross section) increases faster as a function of cluster size than the measured value. This difference persists if one fits  $R_{\text{anion}}$  for some size other than  $n = 10$ . Hence, the mobilities measured for  $\text{Si}_n^-$  anions cannot be accurately modeled with *any choice* of Si–He collision radius.

### B. Evaluating mobilities by scattering on electronic density isosurfaces

The exact hard spheres scattering model and the trajectory calculations have one critical feature in common: both assume the scattering to occur in a potential tied to the position of cluster *nuclei*. However, physically the buffer gas atoms scatter on an ion by interaction between *electrons*. As a rigorous quantum calculation involving the electronic wave functions is entirely out of question for the objects of size considered here, our approach involves the classical scattering of buffer gas atoms on the electronic cloud described by a vertical wall of infinite height. The wall is positioned at a certain value of the total electron density,  $E_{\text{cut}}$ , obtained from calculations. This quantity is the only free parameter in the model. It is adjusted to fit the measurement for one ion with a known geometry and then transferred to other species. This is conceptually analogous to the EHSS model,<sup>31</sup> with  $E_{\text{cut}}$  substituted for the collision radius  $R$ . The electron density isosurfaces generally have irregular shapes. So, we define them numerically on a three-dimensional grid of variable size. The mesh is made finer until the calculated collision integral converges. This is typically attained when the mesh size goes below  $0.1 \text{ \AA}$ . Thus, many thousands of points are needed to appropriately delimit the isosurface even for a small ion. This makes the computational expense of this scattering on the electron density isosurfaces (SEDI) model comparable to that of trajectory calculations.<sup>32–38</sup> While SEDI could be used with many methods of structural optimization, DFT is particularly suitable as it operates directly with the electron density. The SEDI treatment obviously ignores the long-range attractive potential between the ions and buffer gas. So, SEDI should fail like EHSS in cases where these interactions significantly affect the mobilities, carbon clusters being an example.<sup>32,33</sup> Since we have shown these effects to have a negligible impact on the mobilities for  $\text{Si}_n^+$  cations, SEDI should be appropriate for this system. And indeed, the SEDI mobilities are within 1% of both EHSS and trajectory calculation values for all  $\text{Si}_n^+$  geometries ( $n = 4–20$ ) that we considered [Fig. 2(b)].<sup>76</sup> In fact, the SEDI mobilities for lowest-energy  $\text{Si}_n^+$  isomers agree with the room-temperature measurements<sup>47</sup> slightly better than those obtained by either of the other two methods. The key point,

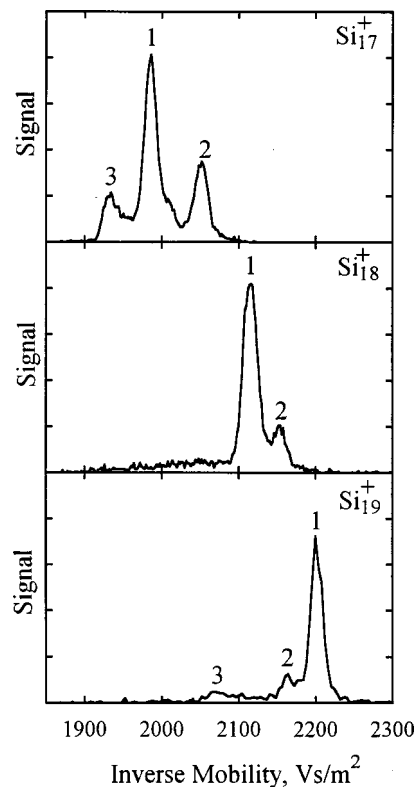


FIG. 3. High-resolution drift time distributions (Ref. 47) for  $\text{Si}_n^+$  ( $n = 17–19$ ) with multiple isomers resolved.

however, is that the mobilities for global minima of  $\text{Si}_n^-$  anions evaluated by SEDI are, unlike those calculated by EHSS, in excellent agreement with experiment,<sup>47</sup> remaining within 2% of the measurements for all sizes considered [Fig. 2(b)].

### C. Structural assignments for $\text{Si}_n^+$ cations and characterization of “spherical” geometries

The structural assignments<sup>20</sup> for  $\text{Si}_n^+$  cations made on the basis of injected-drift tube measurements and trajectory calculations are fully supported by new, more accurate high-resolution data<sup>47</sup> and the SEDI analysis (see Table I). However, the improved resolution enables us to add to the earlier findings. The mobilities calculated for  $C_{2h}$  distorted bi-capped octahedron and  $C_s$  capped pentagonal bipyramid competing for the ground state of  $\text{Si}_8^+$  are so close that they could not be distinguished previously.<sup>20</sup> Our present data virtually exclude the  $C_s$  geometry.

For  $n = 17–19$ , two or three geometries are now resolved<sup>47</sup> instead of just one.<sup>20</sup> This is apparent in the drift time distributions for these sizes plotted in Fig. 3. For  $\text{Si}_{17}^+$ , the lowest and the third-lowest energy isomers [ $C_{3v}$  and  $C_s$  (I), Fig. 1] fit the major peak in the middle and the second-lowest energy isomer ( $C_2$ , Fig. 4) fits the smallest peak at the shortest drift time. The assignment for the second-highest peak at the longest drift time is less solid. It may correspond to the fourth-lowest  $C_s$  (II) isomer shown in Fig. 4 (the three other  $\text{Si}_{17}^+$  geometries that we found within 1 eV above the ground state are much further away towards shorter drift times). We have pointed out<sup>20</sup> that the mobility for lowest-

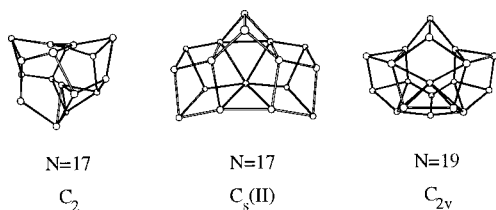


FIG. 4. Low-energy isomers for  $\text{Si}_n^+$  ( $n=17-19$ ) possibly observed in the high-resolution mobility measurements (Ref. 47).

energy  $\text{Si}_{18}^+$  geometry ( $C_{3v}$ , Fig. 1) does not match the measurement, but the mobility for the second-lowest energy isomer ( $D_{3h}$ , Fig. 1) does. Two peaks are now resolved<sup>47</sup> for this size: the one at the shorter drift time is assigned as  $D_{3h}$  and the other one as  $C_{3v}$ . The  $D_{3h}$  isomer is the global minimum for  $\text{Si}_{18}$  anion. For  $n=19$ , DFT indicates the coexistence of cage-like  $C_{2v}$  structure (Fig. 4) with the ‘‘stacked TTP’’ isomer (Fig. 1). The ordering of the free energies depends on the entropic contribution.<sup>20</sup> Our previous measurements<sup>20</sup> found only a single peak that was assigned to the prolate geometry. In the recent high-resolution measurements two minor features were resolved at shorter drift times, the left-most of which has exactly the mobility expected for the ‘‘spherical’’  $\text{Si}_{19}^+$ . The present assignment of minor features in the drift time distributions for  $\text{Si}_{17}^+$  and  $\text{Si}_{19}^+$  to the cage-like isomers is the first specific structural elucidation of species belonging to the ‘‘spherical’’ family that extends to the largest Si clusters examined by mobility measurements<sup>17,18,47</sup> ( $n\sim 90$ ).

#### D. Structural rearrangements between $\text{Si}_n$ cations and anions

In Fig. 5, we plot the absolute difference between the inverse mobilities of  $\text{Si}_n^-$  and  $\text{Si}_n^+$  with  $n\leq 20$ . The difference measured<sup>47</sup> for most sizes is in a narrow band around 100  $\text{Vs/m}^2$ . This difference is caused by a systematic expansion of electronic cloud on going from cations to anions due to

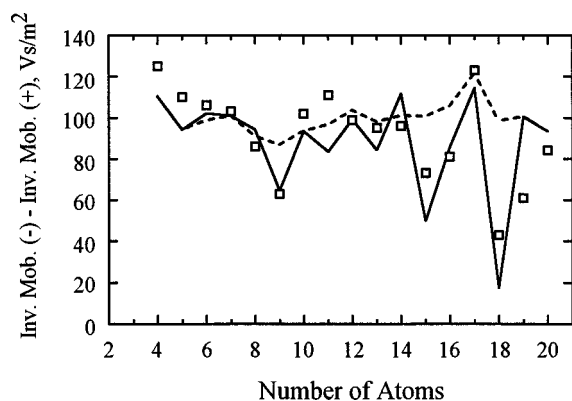


FIG. 5. Gap between the inverse mobilities for  $\text{Si}_n^+$  and  $\text{Si}_n^-$ . Squares are from the high-resolution measurements (for the dominant isomers) (Ref. 47) and the lines are by SEDI calculations. The solid line corresponds to the assumption that the clusters in both charge states adopt their lowest-energy geometries [PWB, except that we have chosen the  $C_s$  (I)/ $C_s$  (II) isomer for  $\text{Si}_{15}^-$ ;  $\text{Si}_8^+$  is assumed to have the  $C_{2h}$  structure]. The dashed line would be produced if the morphologies for  $\text{Si}_n^-$  anions were identical to those of global minima for cations save for a local relaxation.

the two extra electrons. However, there are size-dependent features on top of this overall shift. The SEDI calculation for the lowest-energy geometries of both  $\text{Si}_n^+$  and  $\text{Si}_n^-$  [assuming  $C_s$  (I)/ $C_s$  (II) isomer for  $\text{Si}_{15}^-$ ] clearly reproduces all major minima in the graph for  $n<19$ :  $n=9, 15, 16$ , and  $18$  (Fig. 5). These deviations from the  $\approx 100 \text{ Vs/m}^2$  level are caused by the anion having a more compact geometry than the cation.

To ensure that the features in Fig. 5 are indeed induced by the structural transitions between  $\text{Si}_n^+$  and  $\text{Si}_n^-$  (rather than, for instance, being a manifestation of some effect governed by the number of electrons), we have relaxed the  $\text{Si}_n^+$  global minima for anions and evaluated their collision integrals using SEDI. The resulting inverse mobilities differ from those of cations by a constant shift of approximately 100  $\text{Vs/m}^2$ , with none of the experimental features reproduced (see Fig. 5). This verifies the  $C_s$  (II) TTP for  $\text{Si}_9^-$ ,  $C_s$  (I)/ $C_s$  (II) isomer for  $\text{Si}_{15}^-$ , and  $C_s$  and  $D_{3h}$  geometries for  $\text{Si}_{16}^-$  and  $\text{Si}_{18}^-$ , respectively. The  $\text{Si}_9$  TTP cluster anions have recently been observed in bulk as a part of the crystal structure for  $\text{Rb}_{12}\text{Si}_{17}$ .<sup>77</sup> The cross sections are not appreciably affected by the structural rearrangements between  $\text{Si}_n^+$  and  $\text{Si}_n^-$  for  $n=8$  and  $11-14$ , so these unfortunately could not be revealed even by the high-resolution mobility measurements. The mobility measurements for anions exclude the distorted tricapped octahedron  $\text{Si}_9$   $C_s$  (I) and tetracapped octahedron  $\text{Si}_{10}$   $T_d$  extensively discussed in the literature.<sup>71,78-81</sup> In fact, all previously proposed non-TTP geometries for the medium-sized Si clusters (icosahedra,<sup>82-85</sup> ‘‘stacked puckered sixfold rings,’’<sup>86-88</sup> ‘‘alternating stacked triangles,’’<sup>89,90</sup> ‘‘TBN’’ species retaining the ‘‘adamantane’’ tetrahedral bonding network of bulk Si,<sup>91</sup> etc.) are ruled out by the measurements for anions as they have been for cations.<sup>20</sup>

As mentioned in Sec. II C, the DFT results suggest that the negative charge favors the ‘‘prolate’’  $\text{Si}_n$  geometries over the ‘‘spherical’’ ones. This should delay the rearrangement to more compact structures in the anions compared to cations. Indeed, the mobility measurements for  $\text{Si}_n^-$  ( $n=17-19$ ) show no ‘‘spherical’’ isomers observed for  $\text{Si}_n^+$  with the same  $n$ , and the transition region is shifted to larger sizes.

#### IV. CONCLUSIONS

We have performed a systematic ground-state geometry search for Si cluster anions with up to 20 atoms using density functional theory. The results of local density and gradient-corrected formulations are nearly identical. Overall, the structures adhere to the ‘‘stacked tricapped trigonal prism’’ growth pattern established previously for the  $\text{Si}_n$  neutrals and cations, but the geometries for most sizes differ in detail. The ionic mobilities for the lowest-energy  $\text{Si}_n^-$  isomers calculated using previously known methods systematically disagree with the measurements.

To remedy this situation, we have developed a new method to calculate mobilities. In this method, the ion is represented by a hard body of arbitrary shape delimited by an irregular three-dimensional surface. This surface is defined numerically on a grid mesh using a cutoff value for the total electron density. The collision integral is computed by



elastic scattering of buffer gas atoms on this surface. The mesh size is decreased until the result converges.

Application of the SEDI model to *both*  $\text{Si}_n$  cations and anions has yielded mobilities in excellent agreement with experiment. This has enabled us to characterize the structure of medium-size  $\text{Si}_n$  anions and to confirm all the structural differences between cations and anions in this size range that are predicted by the first-principles optimization. This proves that our optimization methods yield the true  $\text{Si}_n$  geometries regardless of charge, which amounts to a strong circumstantial evidence that the structures we found for neutrals<sup>19,20</sup> are also right. One may expect the new SEDI method laid out in this contribution to critically enhance the power of mobility measurements as a tool for structural elucidation of negatively charged ions.

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